

# Council on Postsecondary Education

## Endowment Match Program

### Synopsis of 2001-02 Annual Summary Reports

Since its inception in 1998, the Bucks for Brains program has been an unqualified success in helping Kentucky's public universities increase their endowments and attract quality researchers dedicated to advancing knowledge, developing products, building businesses, and improving the health and well-being of our fellow citizens. As of June 30, 2002, the eight public universities have added \$369.4 million in cash gifts and state funds to their endowments and secured an additional \$65.4 million in pledges through the program (see Table 1). This money was used to establish 111 new endowed chair and 176 new endowed professorship positions (see Table 2), as well as multiple fellowship, scholarship, and mission support programs.

The Endowment Match Program Guidelines stipulate that program participants will provide detailed annual reports describing how private and matching state funds are used by October 15 each year. These reports contain program narratives that identify the holders of endowed chairs and professorships, support services, entrepreneurial partnerships, internal and external collaboration, intellectual property, and student outcomes associated with each endowment. The reports also contain financial information, including gift and pledge amounts, pledge payment schedules, budgeted and expended investment income, sponsored program funding levels, and the creation of intellectual property.

In response to a directive from the Council Subcommittee on Endowment Match Program Guidelines, the reporting guidelines have been revised to include more information. The council staff, in cooperation with institutions, revised the reporting guidelines and agreed that institutions would submit reports using the new guidelines. These report summaries contain general information on all match program endowments, including numbers and types of endowed activities, demographic information, gifts and pledges matched, and statements of changes in endowment value.

In October 2002, each of the Commonwealth's eight public universities submitted board approved detailed and summary match program reports for the fiscal year ended June 30, 2002. This document contains copies of the 2001-02 Summary Reports submitted by each institution. The summary reports are also available on the council's Website ([www.cpe.state.ky.us](http://www.cpe.state.ky.us)). The detailed reports are maintained at the council and are available for review upon request.

During 2001-02, Kentucky's public universities added \$45.7 million in cash gifts and state funds to their endowments through the Endowment Match Program (see Table 1). These funds supported the creation of 15 new endowed chair and 20 new endowed professorship positions during the fiscal year (see Table 2). Of \$18.8 million in state funds distributed in 2001-02, \$10.1 million was matched against cash gifts and \$8.7 million was matched against pledges (see Table 3). Overall, pledges accounted for 23.1 percent of the total amount of gift and state funds matched in 2001-02 (see Figure 1).

Table 1: Endowment Match Program  
Statement of Changes in Endowments  
For Fiscal Year Ended June 30, 2002

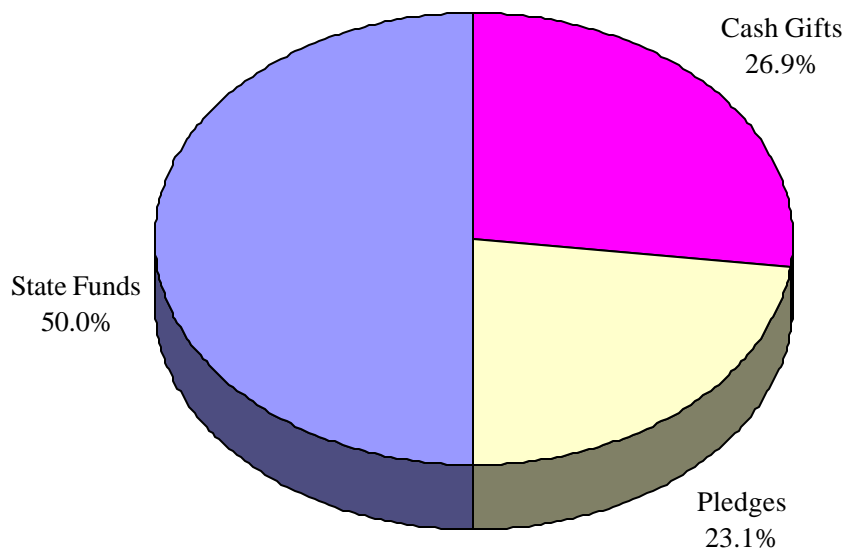
Institution	Prior to July 1, 2001			During Fiscal Year 2001-02					Outstanding Pledges 6/30/02
	State Funds Received	Cash Gifts Received	Market Value 7/1/01	State Funds Received	Cash Gifts Received	Investment Earnings (Loss)	Earnings Expended	Market Value 6/30/02	
Research Institutions									
University of Kentucky	\$ 119,638,756	\$ 71,769,426	\$ 203,885,807	\$ 8,588,453	\$ 15,894,611	\$ (13,068,362)	\$ 6,582,638	\$ 205,710,259	\$ 41,278,758
University of Louisville	<u>50,572,760</u>	<u>46,863,398</u>	<u>98,485,349</u>	<u>6,512,368</u>	<u>6,043,665</u>	<u>1,846,167</u>	<u>2,527,107</u>	<u>110,520,880</u>	<u>15,614,662</u>
Sub-Total	\$ 170,211,516	\$ 118,632,824	\$ 302,371,156	\$ 15,100,821	\$ 21,938,276	\$ (11,222,195)	\$ 9,109,745	\$ 316,231,139	\$ 56,893,420
Comprehensive Institutions									
Eastern Kentucky University	\$ 4,854,418	\$ 4,375,665	\$ 9,342,467	\$ 100,500	\$ 140,406	\$ 526,598	\$ 317,860	\$ 9,680,095	\$ 496,288
Kentucky State University	100,000	123,502	235,402	829,002	112,096	39,925	-	1,216,426	623,707
Morehead State University	2,584,866	1,990,232	4,487,517	635,000	634,572	(385,491)	26,118	5,345,480	1,022,763
Murray State University	3,710,000	2,210,420	6,457,143	1,521,787	699,323	267,546	164,647	8,219,640	2,322,044
Northern Kentucky University	3,236,357	1,999,938	5,017,898	586,643	2,058,241	(581,620)	52,006	7,029,156	1,020,530
Western Kentucky University	<u>6,988,000</u>	<u>2,703,963</u>	<u>10,021,277</u>	<u>25,209</u>	<u>1,278,062</u>	<u>(861,160)</u>	<u>185,652</u>	<u>10,329,737</u>	<u>2,975,956</u>
Sub-Total	\$ 21,473,641	\$ 13,403,720	\$ 35,561,704	\$ 3,698,141	\$ 4,922,700	\$ (994,202)	\$ 746,283	\$ 41,820,534	\$ 8,461,288
Grand Total	<u>\$ 191,685,157</u>	<u>\$ 132,036,544</u>	<u>\$ 337,932,860</u>	<u>\$ 18,798,962</u>	<u>\$ 26,860,976</u>	<u>\$ (12,216,397)</u>	<u>\$ 9,856,028</u>	<u>\$ 358,051,673</u>	<u>\$ 65,354,708</u>

Note: This statement includes financial information only for endowments created or enhanced through the Endowment Match Program.

Table 2: Endowment Match Program  
Chairs and Professorships Established  
As of June 30, 2002

Institution	Current Reporting Year 2001-02		Cumulative 1998-02	
	Chairs	Professorships	Chairs	Professorships
Research Institutions				
University of Kentucky	3	17	55	140
University of Louisville	11	--	49	1
Sub-Total	14	17	104	141
Comprehensive Institutions				
Eastern Kentucky University	--	--	2	2
Kentucky State University	--	2	--	3
Morehead State University	--	1	1	8
Murray State University	1	--	2	4
Northern Kentucky University	--	--	1	5
Western Kentucky University	--	--	1	13
Sub-Total	1	3	7	35
Grand Total	15	20	111	176

Figure 1: Endowment Match Program  
Sources of Endowment Funds  
Fiscal Year 2001-02

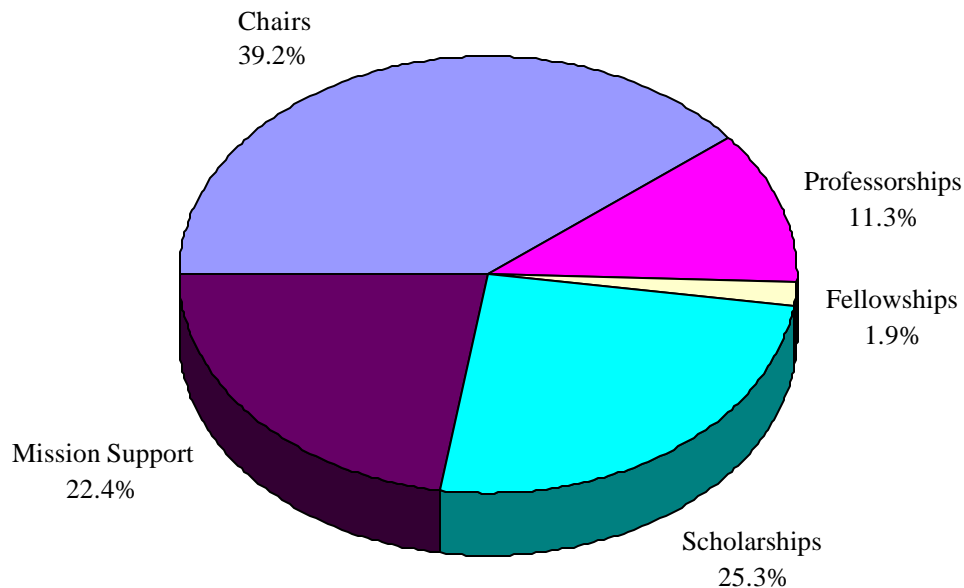


**Table 3: Endowment Match Program**  
**Gifts and Pledges Matched with State Funds**  
**Fiscal Year 2001-02**

	Private Funds				
	Cash			State	Total
Institution	Gifts	Pledges	Total	Match	Received*
				Paid	
Research Institutions					
University of Kentucky	\$ 4,127,351	\$ 4,461,103	8,588,454	\$ 8,588,454	\$ 17,176,908
University of Louisville	4,463,350	2,049,018	6,512,368	6,512,368	13,024,736
Sub-Total	\$ 8,590,701	\$ 6,510,121	\$ 15,100,822	\$ 15,100,822	\$ 30,201,644
Comprehensive Institutions					
Eastern Kentucky University	\$ 39,100	\$ 61,400	\$ 100,500	\$ 100,500	\$ 201,000
Kentucky State University	205,295	623,707	829,002	829,002	1,658,004
Morehead State University	345,000	290,000	635,000	635,000	1,270,000
Murray State University	447,243	1,074,544	1,521,787	1,521,787	3,043,574
Northern Kentucky University	486,643	100,000	586,643	586,643	1,173,286
Western Kentucky University	17,209	8,000	25,209	25,209	50,418
Sub-Total	\$ 1,540,490	\$ 2,157,651	\$ 3,698,141	\$ 3,698,141	\$ 7,396,282
Grand Total	\$ 10,131,191	\$ 8,667,772	\$ 18,798,963	\$ 18,798,963	\$ 37,597,926

\* Includes cash gifts, pledges, and state funds.

**Figure 2: Endowment Match Program**  
**Uses of Endowment Funds**  
**Fiscal Year 2001-02**



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# **Eastern Kentucky University**

**Eastern Kentucky University  
Endowment Match Program  
2001-2002 Summary Report**

This report covers the activities and expenditures supported at Eastern Kentucky University under the Endowment Match Program for the fiscal year 2001-2002. During that fiscal year, EKV submitted seven requests for matching funds to the Council on Postsecondary Education. The council staff processed those seven requests for a total disbursement of \$100,500. These funds were used to establish seven new endowed scholarships.

EKV also submitted sixteen other requests during the 2001-2002 fiscal year, which were not matched until the 2002-2003 fiscal year, but should be mentioned. These requests will be reported during next year's summary report.

Since the beginning of the Endowment Match Program in 1998, a total of nineteen endowments valued at \$9,820,252.07 have been established or expanded.

Private donations and state matching funds supported the addition of seven endowed funds at Eastern Kentucky University during FY '02. These seven new scholarships had not been actively used as of June 30, 2002. Since the program's inception, thirteen endowed scholarships have been established or expanded with three making awards; four faculty positions have been created of which three have been filled; and two mission support projects have begun, with both showing expenditures.

*Endowment Activity*

As shown in Table 1, match program funds were used to create seven scholarship funds in 2001-2002. Of these new funds, none were active. As of June 30, 2002, two endowed chair positions and one endowed professorship position were occupied, two mission support projects incurred expenditures, and three scholarships were being awarded. Since the beginning of the program, a total of two chair positions, two professorships, two mission support projects, and thirteen scholarships have been created or expanded.

Table 1: Annual and Cumulative Match Program Activities

<i>Activity</i>	2001-2002		1998-2002	
	<i>Positions or Projects</i>	<i>Number Occupied or Expenditures</i>	<i>Positions or Projects</i>	<i>Number Occupied or Expenditures</i>
Chairs	0	0	2	2
Professorships	0	0	2	1
Fellowships	0	0	0	0
Scholarships	7	0	13	3
Mission Support Projects	<u>0</u>	<u>0</u>	<u>2</u>	<u>2</u>
<i>Totals</i>	7	0	19	8

### *Demographic Information*

The majority of Faculty and staff hired using Endowment Match Program funds are female. A female holds the position of the current Endowed Professor, while a male and a female hold Endowed Chair positions. Since 1998, three faculty members have been funded or hired under the auspices of the Endowment Match Program; two-thirds of them being female. (See Table 2)

Table 2: Gender of Endowment Match Program Faculty and Staff  
As of June 30, 2002

	<i>Male</i>	<i>Female</i>
Chairs	1	1
Professors	0	1
Staff	<u>0</u>	<u>0</u>
<i>Totals</i>	1	2

The majority of chairs, professors, and professional staff hired through the Endowment Match Program are White, non-Hispanics. As can be seen on Table 3, all of the match program employees hired since 1998 are white.

Table 3: Race of Endowment Match Program Faculty and Staff  
As of June 30, 2002

	<i>White</i>	<i>Black</i>	<i>Amer. Indian</i>	<i>Asian</i>	<i>Hispanic</i>	<i>Total</i>
Chairs	2	0	0	0	0	2
Professors	1	0	0	0	0	1
Staff	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<i>Total</i>	3	0	0	0	0	3



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*Sources of Funds, Earnings, and Expenditures*

During the fiscal year 2001-2002, Eastern Kentucky University received \$100,500 in gifts and pledges from private sources (See Table 4). Approximately 39 percent of that amount, \$39,100, was received in cash, while the remainder, \$61,400 or 61 percent, was received in the form of pledges. Eastern requested \$687,248 and received \$100,500 in state matching funds through the Endowment Match Program. The remaining request balance will be matched in 2002-2003. As a result, a total of \$201,000 in private and state funds was used to create seven endowed scholarships during the 2001-2002 fiscal year.

As of June 30, 2002, the total value of the nineteen EKU endowment accounts established under the Endowment Matching Program was \$9,820,252 (See Table 5). Foundation records show an outstanding balance of \$361,511 in pledges yet to be paid on these accounts. Also, over \$317,000 was expended for program activities during the fiscal year ending June 30, 2002.

TABLE 4

Eastern Kentucky University  
Endowments Matched through the RUETF  
Fiscal Year 2001-2002

<u>Account Name (Donor)</u>	<u>Date Established</u>	<u>Private Gifts</u>	<u>Private Pledges</u>	<u>Total Private</u>	<u>State Funds Received</u>	<u>Total Received</u>
<u>New Endowments for 2001-2002</u>						
Darrell & Jean Baker Scholarship	8/12/01	\$5,000.00	\$20,000.00	\$25,000.00	\$25,000.00	\$30,000.00
Arthur & Frieda Bingham Prize	1/17/02	\$10,000.00	\$0.00	\$10,000.00	\$10,000.00	\$20,000.00
KACo-EKU Insurance Scholarship	10/1/01	\$2,000.00	\$8,000.00	\$10,000.00	\$10,000.00	\$12,000.00
Lawson Business Scholarship	8/6/01	\$5,000.00	\$5,000.00	\$10,000.00	\$10,000.00	\$15,000.00
Dustin McCoy Scholarship	11/14/01	\$5,000.00	\$20,000.00	\$25,000.00	\$25,000.00	\$30,000.00
Northern Kentucky Insurance Agents Scholarship	11/1/01	\$2,100.00	\$8,400.00	\$10,500.00	\$10,500.00	\$12,600.00
Frederic Ogden Scholarship	10/18/01	<u>\$10,000.00</u>	<u>\$0.00</u>	<u>\$10,000.00</u>	<u>\$10,000.00</u>	<u>\$20,000.00</u>
<u>Endowments Expanded</u>						
None						
<b>Total All 2001-2002 Endowments:</b>		\$39,100.00	\$61,400.00	\$100,500.00	\$100,500.00	\$139,600.00

TABLE 5

**Eastern Kentucky University**  
**Changes in Endowments Matched through the RUETF**  
**Fiscal Year 2001-2002**

Account Name (Donor)	Date Established	Prior to July 1, 2001			During Fiscal Year 2001-2002		
		State Funds Received	Gifts Received	Market Value 6/30/01	State Funds Received	Gifts Received	
<u>New for 2001-2002</u>							
Darrell & Jean Baker Scholarship	8/12/01			\$ -	\$ 25,000.00	\$ 5,000.00	
Arthur & Frieda Bingham Prize	1/17/02				\$ 10,000.00	\$ 10,000.00	
KACO-EKU Insurance Scholarship	10/1/01			-	\$ 10,000.00	\$ 2,000.00	
Lawson Business Scholarship	8/6/01			-	\$ 10,000.00	\$ 5,000.00	
Dustin McCoy Scholarship	11/14/01			-	\$ 25,000.00	\$ 5,000.00	
Northern Kentucky Insurance Agents Scholarship	11/1/01			-	\$ 10,500.00	\$ 2,100.00	
Frederic Ogden Scholarship	10/18/01			-	\$ 10,000.00	\$ 10,000.00	
Total, Endowments Created:				\$ -	\$ 100,500.00	\$ 39,100.00	
<u>Endowments Existing</u>							
EKU Women's Scholarship	2/7/01	\$ 23,161.00	\$ 23,161.00	\$ 46,650.80			
Gray Honors' Endowment	9/16/98	\$ 400,250.00	\$ 558,090.00	\$ 815,562.85			
Orval & Alice Hughes Scholarship	10/24/00	\$ 100,000.00	\$ 25,000.00	\$ 128,360.64		\$ 25,000.00	
Charles & Jamie Lamb Scholarship	9/29/00	\$ 104,488.00	\$ 35,488.98	\$ 142,277.48		\$ 30,000.00	
General Arthur Lloyd Scholarship	6/1/73	\$ 15,000.00	\$ 5,000.00	\$ 21,699.76		\$ 5,000.00	
Robert B. Morgan Chair	9/18/00	\$ 1,551,519.00	\$ 1,551,519.00	\$ 3,038,866.99			
Noel Teaching & Learning Center	1/20/00	\$ 475,000.00	\$ 50,000.00	\$ 551,113.57		\$ 25,000.00	
Occupational Therapy Chair	10/1/98	\$ 1,125,000.00	\$ 1,125,000.00	\$ 2,394,492.88			
Rodney Ratliff Scholarship	2/9/01	\$ 50,000.00	\$ -	\$ 60,460.10		\$ 10,000.00	
Vaughn Commitment to Excellence Scholarship	2/12/01	\$ 10,000.00	\$ 2,405.64	\$ 12,568.67		\$ 6,305.87	
Hazel Wilson Professorship	11/30/98	\$ 500,000.00	\$ 500,000.00	\$ 1,051,193.85			
in Family & Consumer Sciences							
Vernon Wilson Professorship	11/30/98	\$ 500,000.00	\$ 500,000.00	\$ 1,079,219.38			
in Computer Science, Math, & Chemistry							
Total, Endowments Expanded:		\$ 4,854,418.00	\$ 4,375,664.62	\$ 9,342,466.97		\$ 101,305.87	
Grand Total		\$ 4,854,418.00	\$ 4,375,664.62	\$ 9,342,466.67	\$ 100,500.00	\$ 140,405.87	

Inv. Earnings      Inv. Earnings Expended      Market Value 6/30/02      Outstanding Pledges

\$	623.68	\$	-	\$	30,000.00	\$	20,000.00
\$	780.94	\$	-	\$	20,000.00	\$	-
\$	258.93	\$	-	\$	12,000.00	\$	8,000.00
\$	-	\$	-	\$	15,000.00	\$	5,000.00
\$	647.31	\$	-	\$	30,000.00	\$	20,000.00
\$	249.53	\$	-	\$	12,600.00	\$	8,400.00
\$	556.78	\$	-	\$	20,556.78	\$	-
\$	3,117.17	\$	-	\$	140,156.78	\$	61,400.00
\$	2,552.28	\$	2,208.68	\$	47,119.40	\$	-
\$	30,921.72	\$	99,166.80	\$	775,445.46	\$	-
\$	7,489.27	\$	2,706.00	\$	158,143.91	\$	50,000.00
\$	8,994.29	\$	-	\$	181,321.77	\$	39,000.00
\$	1,408.40	\$	100.00	\$	28,008.16	\$	5,000.00
\$	181,347.55	\$	25,995.00	\$	3,194,219.47	\$	-
\$	36,336.42	\$	3,835.68	\$	608,864.31	\$	400,000.00
\$	131,247.64	\$	131,644.12	\$	2,394,096.40	\$	-
\$	3,637.90	\$	-	\$	74,098.00	\$	40,000.00
\$	741.24	\$	-	\$	19,621.41	\$	1,288.49
\$	58,011.27	\$	50,355.24	\$	1,060,993.00	\$	-
\$	60,793.15	\$	1,848.85	\$	1,138,164.00	\$	-
\$	523,481.13	\$	317,860.37	\$	9,680,095.29	\$	535,288.49
\$	526,598.30	\$	317,860.37	\$	9,680,095.29	\$	496,288.49

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# **Kentucky State University**

# Kentucky State University

## Endowment Match Program

### 2001-02 Summary Report

This report covers the activities and expenditures supported at Kentucky State University under the Endowment Match Program. During fiscal year 2001-02, Kentucky State University submitted one request totaling \$829,002 in matching funds to the Council on Postsecondary Education. The council staff processed one request and disbursed \$829,002 to Kentucky State University (Table 4). These funds were used in support of establishing an Endowed Professorship in Education, an Endowed Professorship in Business, an Endowed Library Fund and Endowed Student Scholarships.

#### Endowment Activity

Table 1: Annual and Cumulative Match Program Activities

Activity	2001-2002			2000-2001		
	Number of Positions or Projects	Number Occupied or Projects with Expenditures	Number of Positions or Projects	Number Occupied or Projects with Expenditures		
Chairs	0	0	0	0		
Professorships	2*	0	1*	0		
Fellowships	0	0	0	0		
Scholarships	1	0	0	0		
Mission Support Projects	1	0	0	0		
<b>Totals</b>	<b>4</b>	<b>0</b>	<b>1*</b>	<b>0</b>		

\*These professorship funds are in partial funding status, thereby the positions are not occupied.

# Gender of Endowment Match Program Faculty and Staff

This Table is not applicable to Kentucky State University due to the fact that no Professorships have been established, as of yet.

## Demographic Information

Table 2: Gender of Endowment Match Program  
Faculty and Staff

As of June 30, 2002		
	Male	Female
Chairs	N/A	N/A
Professors	N/A	N/A
Staff	N/A	N/A
Total	N/A	N/A

## Race of Endowment Match Program Faculty and Staff As of June 30, 2002

This Table is not applicable to Kentucky State University due to the fact that no Professorships have been established, as of yet.

Table 3: Race of Endowment Match Program Faculty and Staff

As of June 30, 2002

	<u>White</u>	<u>Black</u>	<u>Asian</u>	<u>Hispanic</u>	<u>Total</u>
Chairs	N/A	N/A	N/A	N/A	N/A
Professorships	N/A	N/A	N/A	N/A	N/A
Staff	N/A	N/A	N/A	N/A	N/A
<b>Total</b>	N/A	N/A	N/A	N/A	N/A

### *Sources of Funds, Earnings, and Expenditures*

During fiscal year 2001-02, Kentucky State University received \$829,002 in donations, gifts, and pledges from private sources (Table 4) that were matched with state funds. Of that amount, \$205,294.87 was received in cash, and the remainder was received in the form of pledges. Kentucky State University requested and received \$829,002 in state matching funds through the Endowment Match Program. Therefore, during 2001-02, a total of \$1,034,296.87 in private and state funds were used to expand the Endowed Professorship in Education and initiate one new Endowed Professorship in Business, initiate an Endowed Library Fund and to initiate an Endowed Student Fund.



**Kentucky State University  
Endowment Match Program  
Endowments Matched with State Funds  
During Fiscal Year 2001-02**

<u>Endowment Name</u>	<u>Date</u>	<u>Private Funds</u>				<u>Total Received*</u>
	<u>Established/Expanded</u>	<u>Gifts</u>	<u>Pledges</u>	<u>Total</u>	<u>Matching State Funds</u>	
Endowments Created:						
**Endowed Professorship In Business	7/3/01	\$21,500.00	\$8,500.00	\$30,000.00	\$30,000	\$51,500.00
Endowed Library Fund	7/3/01	\$500.00	\$500.00	\$1,000.00	\$1,000	\$1,500.00
Endowed Scholarship Students	7/3/01	\$164,732.79	\$605,707.21	\$770,440.00	\$770,440	\$935,172.79
Sub-Total		\$186,732.79	\$614,707.21	\$801,440.00	\$801,440.00	\$988,172.79
Endowments Expanded:						
**Endowed Professorship in Education	12/3/99	\$18,562.08	\$8,999.92	\$27,562.00	\$27,562	\$46,124.08
Sub-Total		\$18,562.08	\$8,999.92	\$27,562.00	\$27,562.00	\$46,124.08
Grand Total		\$205,294.87	\$614,707.13	\$829,002.00	\$829,002.00	\$1,034,296.87

\*Total gift and state funds received. Does not include pledges

\*\*These Professorship Funds are in partial funding status, thereby positions are not occupied.

**Kentucky State University**  
**Endowment Match Program**  
**Statement of Changes in Endowment Principals**  
**Fiscal Year 2001-02**

Table 5

Endowment Title	Date Est.	Prior to 7/1/01		During Fiscal Year 2001-02							Outstanding Pledges 6/30/02	
		State Funds Received	Gifts Received	Market Value 7/1/01	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended	Market Value 6/30/02			
New Endowments:												
Endowed Professorship in Business**	7/3/2001	\$0.00	\$5,000.00	\$5,217.90	\$30,000	\$11,000.00	\$1,568.44	\$0.00	\$47,786.34	\$8,500.00		
Endowed Student Scholarships	7/3/2001	\$0.00	\$50,940.00	\$53,160.01	\$770,440	\$49,636.03	\$29,633.86	\$0.00	\$902,869.89	\$605,707.21		
Endowed Library Fund	7/3/2001	\$0.00	\$0.00	\$0.00	\$1,000	\$500.00	\$50.90	\$0.00	\$1,550.90	\$500.00		
Existing Endowments:												
Endowed Professorship in Education **	12/3/1999	\$100,000.00	\$67,652.39	\$177,024.26	\$27,562.00	\$50,960.40	\$8,672.15	\$0.00	\$264,218.80	\$8,999.92		
Sub-Total		\$100,000.00	\$123,502.39	\$235,402.17	\$629,002.00	\$112,096.43	\$39,925.35	\$0.00	\$1,216,425.94	\$623,707.13		
Grand Total		\$100,000.00	\$123,502.39	\$235,402.17	\$829,002.00	\$112,096.43	\$39,925.35	\$0.00	\$1,216,425.94	\$623,707.13		

\*Since creation of endowment.

\*\*This Professorship Fund is partial funding status, thereby position not occupied.

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# **Morehead State University**

**Morehead State University  
Endowment Match Program  
2001-2002 Summary Report**

This report covers the activities of each endowment fund at Morehead State University established through the Endowment Match Program for fiscal year 2001-2002. During fiscal year 2001-2002, Morehead State University submitted 32 requests totaling \$1,814,234 in matching funds to the Council on Postsecondary Education. The council staff processed five of those requests and disbursed \$635,000 to Morehead State University (Table 4). These funds were used to establish two new endowments and expand three existing endowments. With the addition of these endowments, the match program has helped create or expand a cumulative total of 18 endowments valued at \$5,345,480 as of June 30, 2002 (Table 5).

Private donations and state matching funds supported the addition of two endowments at Morehead State University (Table 1), including one professorship and one scholarship. Since the program's inception in 1998, one chair and eight professorships have been established, of which three have been used to support faculty positions; seven fellowship or scholarship funds have been created with three making awards; and two mission support activities have been created with one reporting expenditures.

**Part I – Summary Report**

***Endowment Activity***

As shown in Table 1, match program funds were used to create one endowed professorship and one endowed scholarship in 2001-2002, of which neither was active.

**Table 1: Annual and Cumulative Match Program Activities**

Activity	2001-2002		1998-2002	
	Number of Positions or Projects	Number Occupied or Projects with Expenditures	Number of Positions or Projects	Number Occupied or Projects with Expenditures
Chairs	0	0	1	0
Professorships	1	0	8	3
Fellowships	0	0	5	2
Scholarships	1	0	2	1
Mission Support Projects	0	0	2	1
Totals	2	0	18	7

As of June 30, 2002, three endowed professorships were occupied, as well as two fellowships, one scholarship, and one mission support project activity reported expenditures. The 2001-2002 fiscal year was the first active year for endowments created through the state match program.

### ***Demographic Information***

The majority of faculty supported using Endowment Match Program funds are male. Since 1998, a total of three faculty have received support under the auspices of the Endowment Match Program. Two of those who received funds (or 66.7%) were male, and one (or 33.3%) was female.

**Table 2: Gender of Endowment Match Program Faculty and Staff**

As of June 30, 2002		
	Male	Female
Chairs	0	0
Professorships	2	1
Staff	0	0
Total	2	1

A total of three faculty received support through the Endowment Match Program, all of which were Caucasian.

**Table 3: Race of Endowment Match Program Faculty and Staff**

As of June 30, 2002						
	Caucasian	African American	Am. Indian	Asian	Hispanic	Total
Chairs	0	0	0	0	0	0
Professorships	3	0	0	0	0	0
Staff	0	0	0	0	0	0
Total	3	0	0	0	0	0

### ***Sources of Funds, Earnings, and Expenditures***

During fiscal year 2001-2002, Morehead State University received \$635,000 in donations, gifts, and pledges (Table 4) from private sources that were matched with state funds. Of that amount, \$345,000 (54.3%) was received in cash and \$290,000 (45.7%) in pledges. Morehead State University submitted \$1,814,234

and received \$635,000 in state matching funds through the Endowment Match Program. As a result, a total of \$980,000 in private and state match funds were used to create two new endowments and expand three existing endowments during the year.

As of June 30, 2002, the 18 endowment funds established under the Endowment Matching Program had a market value of \$5,345,480 (Table 5). Of that amount, \$3,219,866 (56.9%) was from state matching funds and \$2,434,604 (43.1%) was gift income. The outstanding pledge balance as of June 30, 2002 was \$982,763. A total of \$26,118 was expended for endowment activities during the fiscal year ended June 30, 2002.

**Table 4**

**Morehead State University  
Endowment Match Program  
Endowments Matched with State Funds  
During the Fiscal Year 2001-2002**

Endowment Name	Date Established/ Expanded	Private Funds		Matching State Funds	Total Received w/o pledges
		Gifts	Pledges		
<b>Endowments Established:</b>					
Dr. C. Louise Caudill Scholarship Endowment	04/02/01	\$ 150,000	\$ -	\$ 150,000	\$ 300,000
Gregory Palmer Endowment for Business	07/02/01	\$ 40,000	\$ 40,000	\$ 80,000	\$ 120,000
<b>Total Established Matched</b>		\$ 190,000	\$ 40,000	\$ 230,000	\$ 420,000
<b>Endowments Expanded:</b>					
Buckner & Sally S. Hinkle Endowment for Humanities	11/30/99	\$ 55,000	\$ -	\$ 55,000	\$ 110,000
Elmer and Donna Smith Endowment for Business	10/05/00	\$ -	\$ 250,000	\$ 250,000	\$ 250,000
Adron Doran Endowment for Educational Leadership	1/24/2001	\$ 100,000	\$ -	\$ 100,000	\$ 200,000
<b>Total Expanded Matched</b>		155,000	250,000	405,000	560,000
<b>Total Funds Received</b>		\$ 345,000	\$ 290,000	\$ 635,000	\$ 980,000

Table 5

**Morehead State University  
Endowment Match Program  
Statement of Changes in Endowments  
During the Fiscal Year 2001-2002**

Endowment Name	Date Established	Prior to 7/1/02			During Fiscal Year 2001-2002							Market Value 8/30/2002	Outstanding Pledges as of 6/30/02
		State Funds Received	Gifts Received	Market Value 7/1/2001	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2001/2002					
New Endowments:													
Dr. C. Louise Caudill Scholarship Endowment	04/02/01	\$ -	\$ 150,000	\$ 150,000	\$ 150,000.00	\$ 200	\$ (13,013)	\$ -	287,187	\$ -			
Gregory Palmer Endowment for Business	07/02/01	\$ -	\$ 30,000	\$ 31,497	\$ 80,000.00	\$ 10,000	\$ (4,127)	\$ -	117,370	\$ 40,000			
Total New Endowments		\$ -	\$ 180,000.00	\$ 181,496.95	\$ 230,000.00	\$ 10,200.00	\$ (17,139.78)	\$ -	404,557	\$ 40,000			
Existing Endowments:													
Bertha Mills Booth Endowment for Business Research	12/23/98	\$ 125,000.00	\$ 25,000	\$ 153,930	\$ -	\$ 80,000	\$ (16,006)	\$ -	217,924	\$ 170,000			
Charles M. Rhodes Endowment for Science Fellowships	09/17/99	\$ 100,000.00	\$ -	\$ 95,965	\$ -	\$ 5,000	\$ (7,588)	\$ -	93,377	\$ 95,000			
Robert L. Coleman Endowment for Biological Sciences	11/17/99	\$ 125,000.00	\$ 50,000	\$ 174,484	\$ -	\$ -	\$ (13,329)	\$ 1,500	159,655	\$ 75,000			
Buckner & Sally S. Hinkle Endowment for Humanities	11/30/99	\$ 136,885.00	\$ 106,685	\$ 237,156	\$ 55,000	\$ 65,000	\$ (22,327)	\$ -	334,829	\$ 20,000			
Kathy Golins Martin Endowment for Human Physiology	12/10/99	\$ 25,000.00	\$ 5,000	\$ 29,475	\$ -	\$ 5,000	\$ (2,352)	\$ -	32,124	\$ 15,000			
Mick Barker Endowment for Industrial Education	12/11/99	\$ 25,000.00	\$ 10,285	\$ 34,859	\$ -	\$ 295	\$ (2,676)	\$ -	32,478	\$ 15,000			
Gary North Endowment for Traditional Music	12/14/99	\$ 25,000.00	\$ 5,000	\$ 28,790	\$ -	\$ 5,000	\$ (2,487)	\$ -	31,303	\$ 15,000			
Adron Doran Endowment for Educational Leadership	01/19/99	\$ 335,369.00	\$ 213,650	\$ 545,387	\$ 100,000	\$ 148,988	\$ (55,242)	\$ 6,122	733,011	\$ 148,556			
Rough Eggs Shannon & E.L. Shannon Endowment for Education	01/12/00	\$ 180,000.00	\$ 177,581	\$ 350,775	\$ -	\$ 72,419	\$ (28,808)	\$ 6,200	388,186	\$ -			
Major James Scott DeHart Endowment	02/25/00	\$ 25,000.00	\$ 16,250	\$ 40,324	\$ -	\$ 1,500	\$ (3,132)	\$ 656	38,036	\$ 7,250			
Geoscience Endowment	04/19/00	\$ 50,000.00	\$ 21,049	\$ 68,276	\$ -	\$ 5,500	\$ (5,467)	\$ 1,210	67,099	\$ 26,201			
Dr. C. Louise Caudill Endowment for Allied Health	05/03/00	\$ 500,000.00	\$ 428,180	\$ 902,884	\$ -	\$ 50,020	\$ (68,979)	\$ -	883,926	\$ 22,000			
Larry Stephenson SGA Endowment	05/15/00	\$ 25,000.00	\$ 75,000	\$ 98,040	\$ -	\$ 76,100	\$ (11,428)	\$ -	162,713	\$ -			
Allen Lake Endowment for Biological Sciences	05/20/00	\$ 57,500.00	\$ 24,244	\$ 76,367	\$ -	\$ 9,500	\$ (6,169)	\$ -	79,698	\$ 23,756			
Clifford R. and Addie R. Rader Scholarship Endowment	06/01/00	\$ 600,112.00	\$ 602,108	\$ 1,176,189	\$ -	\$ 50	\$ (89,878)	\$ 10,430	1,075,941	\$ -			
Elmer and Donna Smith Endowment for Business	10/05/00	\$ 250,000.00	\$ 50,000	\$ 293,110	\$ 250,000	\$ 100,000	\$ (32,485)	\$ -	610,625	\$ 350,000			
Total Existing Endowments		\$ 2,584,866	\$ 1,810,232	\$ 4,306,020	\$ 405,000	\$ 524,372	\$ (368,351)	\$ 25,118	4,940,923	\$ 982,763			
Total		2,584,866	1,990,232	4,487,517	635,000	534,572	(385,491)	25,118	5,345,480				



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# **Murray State University**

**MURRAY STATE UNIVERSITY  
ENDOWMENT MATCH PROGRAM  
2001-02 Summary Report**

This report summarizes the programmatic and financial results of the Endowment Match Program at Murray State university. During Fiscal Year 2001-02, Murray State University submitted requests for matching funds totaling \$1,521,787 to establish the Hope, Endeavor and Achievement Needs-Based Scholarship, and to expand the Anna S. Brown and Ruth B. Logan Endowed Chair in Pre-Medicine and the Wrather West Kentucky Museum Endowment for Excellence.

**Endowment Activity**

Private donations and state matching funds supported the addition of one endowed scholarship and the expansion of one endowed chair and one mission support endowment at Murray State University during 2001-02. These endowments were not operational as of June 30, 2002 because funds were not received in time for sufficient earnings to have accumulated. Since the Endowment Match Program's inception in 1998, two endowed chairs and four professorships have been established. An active search is underway to fill the endowed chairs. The professorships will be advertised on a case by case basis as soon as sufficient earnings have accumulated, which is expected in the next year or two. One offer was made to an African American candidate, who declined. A total of five endowed scholarships have been established. These results are summarized in Table 1.

**Table 1**

Activity	2001-2002		1998-2002	
	Number of Positions or Projects	Number Occupied or Projects with Exenditures	Number of Positions or Projects	Number Occupied or Projects with Exenditures
Chairs	1	0	2	0
Professorships	0	0	4	0
Scholarships	1	0	5	3
Mission Support	1	0	1	0
Totals	3	0	12	3

## Demographic Information

Because none of the endowed faculty positions was occupied during 2001-02, there are no demographic data to report as indicated in Tables 2 & 3 below. However, every effort will be made to assure that qualified female and minority candidates are given due consideration for these positions once adequate earnings have accumulated. A highly focused plan is being put in place to assure that qualified minorities are aware of the opportunity to fill these positions once they are advertised. Major elements of this plan include the following.

- A member of the MSU Affirmative Action Office will serve on search committees.
- African Americans will be actively recruited through the Southern Regional Educational Board - Minority Recruiting Office.
- Personal contacts will be used to promote the positions at historically Black institutions.
- Advertisements will be placed in the African American Register and Black Issues in Higher Education.

**Table 2: Gender of Endowment Match Program**

Faculty and Staff		
<u>As of June 30, 2002</u>		
	<u>Male</u>	<u>Female</u>
Chairs	0	0
Professors	0	0
Staff	<u>0</u>	<u>0</u>
Total	<u>0</u>	<u>0</u>

**Table 3: Race of Endowment Match Program Faculty and Staff**

<u>As of June 30, 2002</u>						
	<u>White</u>	<u>Black</u>	<u>Amer. Indian</u>	<u>Asian</u>	<u>Hispanic</u>	<u>Total</u>
Chairs	0	0	0	0	0	0
Professorships	0	0	0	0	0	0
Staff	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

### **Sources of Funds, Earnings and Expenditures**

During fiscal year 2001-02, Murray State University received \$1,521,787 in donations, gifts and pledges from private sources (Table 4) that were matched with state funds. About 27% of that amount, \$416,823 was received in cash and the remainder, \$1,074,544 or approximately 69%, was received in the form of pledges. A total of \$30,420 in cash, or about 2%, had been received prior to July 1, 2001 for one of the endowments. As a result, \$1,938,610 in private and state funds was used to create one new endowment and to expand two endowments during the year.

As of June 30, 2002, the total market value of the 12 endowment accounts established under the Endowment Matching Program was \$8,219,640 (Table 5). Total State Funds received equaled \$5,231,787 and total private gifts received equaled \$2,909,743. Foundation records show an outstanding balance of \$2,322,044 in unpaid pledges on these accounts, or approximately 44% of the total amount pledged.

Although Murray State University is only now reaching the point where it can effectively begin to operationalize some of its endowments, the RUETF matching program has enabled it to lay a substantial foundation for future endeavors in research, scholarship and student access.

**MURRAY STATE UNIVERSITY**  
**ENDOWMENT MATCH PROGRAM**  
Endowments Matched with State Funds  
During Fiscal Year 2001-02  
Table 4

Endowment Name	Date Established/ Expanded	Private Funds		Matching State Funds	Total Received
		Gifts	Pledges		
Endowments Created					
Hope, Endeavor and Achievement Needs-Based Scholarship	11/20/01	400,000	600,000	1,000,000	1,400,000
Subtotal		400,000	600,000	1,000,000	1,400,000
Endowments Expanded					
Logan Endowed Chair in Pre-Medicine	10/27/00		471,787	471,787	471,787
Wrather West Kentucky Museum Endowment for Excellence	2/13/01	16,823	2,757	19,580	66,823
Subtotal		16,823	474,544	491,367	538,610
Grand Total		416,823	1,074,544	1,491,367	1,938,610

**Murray State University  
Endowment Match Program  
Statement of Changes in Endowments  
Table 5**

Murray State University Endowment Title	Date Est.	Prior to 7/1/01			During Fiscal Year 2001-02					Market Value 7/1/01	During Fiscal Year 2001-02			Market Value 6/30/02	Outstanding Pledges 6/30/02
		State Funds Received	Gifts Received		State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended							
<b>New Endowments:</b>															
Hope, Endeavor and Achievement Needs-Based Scholarship	11/20/01				1,000,000	400,000	4,800						1,404,626	600,000	
<b>Sub-Total</b>		0	0	0	1,000,000	400,000	4,800	0					1,404,626	600,000	
<b>Existing Endowments:</b>															
Arthur J. Bauernfeind Endowed Chair in Business	7/1/98	489,250	489,250	1,083,763	-	-	52,015	-					1,006,750	-	
Rayburn and Nancy Spann Watkins Endowed Professorship	10/4/99	95,000	95,000	209,646	-	-	10,049	-					194,465	-	
The Tom W. Hutchens Endowed Professorship	10/20/99	47,500	10,000	115,217	-	-	5,179	-					117,965	27,500	
Ashland Oil College of Education Endowed Professorship	12/3/99	95,000	50,000	158,863	-	25,000	8,179	-					172,888	20,000	
Groover A. Parker Memorial Scholarship Fund	1/10/00	100,000	100,000	219,269			7,894						210,299		
James K. Lassiter Endowed Scholarship	6/2/00	385,750	385,750	843,708			32,229	23,868					784,012		
Mary Moore Lassiter Endowed Scholarship	6/2/00	397,500	350,000	765,516		47,500	29,242	17,585					711,352		
Dr. Hugh and Hontas K. Houston Professorship	6/15/00	100,000	100,000	219,262			8,376	-					203,748	-	
Endowed Anonymous Scholarship	10/25/00	2,000,000	600,000	2,841,899		200,000	107,664	123,194					2,843,637	1,200,000	
Logan Endowed Chair in Pre-Medicine	10/27/00				471,787								471,787	471,787	
Wrather West Kentucky Museum Endowment for Excellence	2/13/01		30,420		50,000	16,823	1,919						98,111	2,757	
<b>Sub-Total</b>		3,710,000	2,210,420	6,457,143	521,787	299,323	262,746	164,647					6,815,014	1,722,044	
<b>Grand Total</b>		3,710,000	2,210,420	6,457,143	1,521,787	699,323	267,546	164,647					8,219,640	2,322,044	

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# **Northern Kentucky University**

**Northern Kentucky University**  
**Endowment Match Program**  
**2001-2002 Summary Report**  
**Page 1 of 5**

**Part I: Summary Report**

This report covers the activities and expenditures supported at Northern Kentucky University under the Endowment Match Program. During fiscal year 2001-2002, Northern Kentucky University submitted two requests totaling \$598,416 to the Council on Postsecondary Education. The council staff processed two requests; \$140,000 submitted in FY 01 and \$446,643 submitted in FY 02, disbursing a total of \$586,643 to Northern Kentucky University (Table 4). A second FY 02 request totaling \$151,773 was disbursed early in fiscal year 2002-2003. These state matching funds were used to support three existing endowments. The Endowment Match Program has helped create or expand 22 endowments valued at \$7,029,156 as of June 30, 2002 (Table 5).

Private donations and state matching funds received during fiscal year 2001-2002 supported three endowed activities at Northern Kentucky University (Table 1), including two scholarships and one mission support project. As of June 30, 2002 one scholarship endowment has disbursed awards. Scholarship awards have been made for the remaining scholarship endowment for the 2002-2003 academic year. The endowed mission support project fund is expected to begin reporting expenditures during the 2002-2003 academic year. Since the program's inception in 1998, six faculty positions have been established and one has been filled; thirteen scholarship funds have been created with seven making awards; and three mission support activities have been created with two reporting expenditures.

***Endowment Activity***

As shown in Table 1, match program funds were used to expand two endowed scholarships, and one endowed mission support projects in 2001-2002.

**Table 1. Annual and Cumulative Match Program Activities**

<b>Activity</b>	<b><i>2001-2002</i></b>		<b><i>1998-2001</i></b>	
	<b>Number of Positions or Projects</b>	<b>Number Occupied or Projects with Expenditures</b>	<b>Number of Positions or Projects</b>	<b>Number Occupied or Projects with Expenditures</b>
<b>Chairs</b>	0	0	1	0
<b>Professorships</b>	0	0	5	1
<b>Scholarships</b>	2	1	13	7
<b>Mission Support Projects</b>	1	0	3	2
<b>Totals</b>	<b>3</b>	<b>1</b>	<b>22</b>	<b>10</b>



**Northern Kentucky University**  
**Endowment Match Program**  
**2001-2002 Summary Report**  
**Page 2 of 5**

One of the three newly expanded activities was active during the 2001-2002 fiscal year. The two that were not active are due in large part to the fact that the endowments have just reached the one-year mark. Both are expected to become active in FY 03. Overall, since 1998, a total of one chair, five professorships, thirteen undergraduate scholarships, and three mission support projects have been endowed through the program. As of the fiscal year ending June 30, 2002, one professorship, seven undergraduate scholarships, and two-mission support projects were reporting program expenditures.

***Demographic Information***

The only faculty member currently supported with Endowment Match Program is a white, non-Hispanic female.

**Table 2: Gender of Endowment Match Program: Faculty and Staff**

	<u>As of June 30, 2002</u>	
	<u>Male</u>	<u>Female</u>
<b>Chairs</b>	0	0
<b>Professors</b>	0	1
<b>Staff</b>	<u>0</u>	<u>0</u>
<b>Total</b>	0	1

**Table 3: Race of Endowment Match Program Faculty and Staff as of June 30, 2002**

	<u>White</u>	<u>Black</u>	<u>Am. Indian</u>	<u>Asian</u>	<u>Hispanic</u>	<u>Total</u>
<b>Chairs</b>	0	0	0	0	0	0
<b>Professors</b>	1	0	0	0	0	1
<b>Staff</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total</b>	1	0	0	0	0	1

**Northern Kentucky University  
Endowment Match Program  
2001-2002 Summary Report  
Page 3 of 5**

***Sources of Funds, Earnings, and Expenditures***

During fiscal year 2001-2002, Northern Kentucky University received \$1,100,000 in donations, gifts, and pledges from private sources (Table 4). The university requested the state to consider matching \$598,416 of that amount with Commonwealth Excellence Trust Funds. During FY 02 three requests for matching funds were processed: a FY 01 request for \$140,000 and a FY 02 request for \$446,643. As of June 30, 2002, a request for \$151,773 was pending reimbursement (payment was received in August, 2002). As of June 30, 2002, \$1,020,000 or 93% of the FY 02 private gift commitments (\$1,100,000) had been received by the university; the remaining \$80,000 (or 7%) was due to the university as a pledge receivable.

During fiscal year 2001-2002, Northern Kentucky University requested a total of \$598,416 in state matching funds and received \$446,643 of that request. An additional \$140,000 in state matching funds was received for a FY 01 reimbursement request. The \$598,416 requested in FY 02 was for gift commitments received during the previous fiscal year (year ending June 30, 2001). The \$586,643 received in Endowment Match funds during FY 02 (Table 4) matched existing endowments created in prior fiscal years. A total commitment of \$2,240,000 (\$1,100,000 in private funds \$553,357 in NKU Foundation funds advanced to the Eva Farris Scholarship endowment, and \$586,643 in state matching funds) was used to expand three existing endowments during the year ending June 30, 2002.

As of June 30, 2002, the total market value of the 22 endowment accounts established under the Endowment Matching Program was \$7,029,156 (Table 5). Cumulative state funds received (\$3,823,000) represent 48% of total funds received; cumulative private gifts and NKU Foundation advanced funds received (\$4,058,179) represent 52% of total funds received. Foundation records show an outstanding balance of \$1,020,530 in unpaid pledges on these accounts. A total of \$52,006 was expanded from State Matching Fund supported endowed fund accounts during the fiscal year ended June 30, 2002.

**Table 4**  
**Page 4 of 5**

**Northern Kentucky University  
Endowment Match Program  
Endowments Matched with State Funds Received  
During Fiscal Year 2001-2002**

<u>Account</u>	<u>Endowment Name</u>	<u>Date</u>	<u>Private Funds</u>			<u>Matching</u>	<u>Total Received *</u>
		<u>Established/ Expanded</u>	<u>Gifts</u>	<u>Pledges</u>	<u>Total</u>	<u>State Funds</u>	
<b>Endowments Created:</b>							
67915	Family Business Center	05/29/2001	20,000	80,000	100,000	100,000	120,000
67925	Eva Farris Scholarship**	06/30/2001	1,553,357		1,553,357	446,643	2,000,000
	<b>Sub-Total</b>		1,573,357	80,000	1,653,357	546,643	2,120,000
<b>Endowments Expanded:</b>							
67740	Milacron Scholarship	05/01/95				40,000	40,000
	<b>Sub-Total</b>					40,000	40,000
	<b>Grand Total</b>		1,573,357	80,000	1,653,357	586,643	2,160,000

\* Total gift and state funds received. Does not include pledges.

\*\* Amount shown in "Gifts" column includes \$553,357 of funds advanced by the NKU Foundation pending application for matching funds

Northern Kentucky University  
Endowment Match Program  
Statement of Changes in Endowment Market Values

Acct	Endowment Name	Date Established	State Funds Received before 7/1/2001	Gifts Received before 7/1/2001	Market Value 7/1/01	State Funds Received 2001-2002	Gifts Received 2001-2002	Investment Earnings (Loss) 2001-2002	Earnings Expended 2001-2002	Market Value 6/30/02	Outstanding Pledges as of 6/30/2002*
<b>EXISTING ENDOWMENTS:</b>											
67512	Fifth Third Entrepreneurship Professor**	6/30/99	250,000	250,000	467,257			(42,537)	(25,253)	399,467	
67517	Fifth Third Entrepreneurship Scholarship	6/30/99	75,000	75,000	134,860			(12,596)	(2,409)	119,855	
67522	Fifth Third Entrepreneurship Support	6/30/99	75,000	76,415	133,750		(1,000)	(12,381)	(2,411)	117,958	
67526	American Heritage Scholarship	9/29/00	19,357	8,271	26,992		11,580	(3,649)		34,923	18,864
67633	Chase Enhancement Fund	3/31/99	22,000	63,362	81,488		11,650	(8,270)	(3,664)	81,204	6,787
67740	Millacron Scholarship	5/1/95		70,845	96,002	40,000		(8,988)	(3,621)	123,393	20,000
67820	Ashland Professorship	9/27/99	250,000	100,000	326,503		50,000	(8,455)	(4,310)	376,503	100,000
67840	Steely Scholarship	10/27/99	50,000	51,508	92,390			(41,550)	(2,629)	420,851	
67845	Stein Professorship	11/2/99	250,000	166,668	381,696		83,334	(45,645)		437,047	
67847	Neyer Family Professor of Music	6/14/00	250,000	250,096	482,692			(40,799)	(3,991)	402,515	
67850	Verst Scholarship	11/23/99	250,000	250,021	447,305		6,000	(1,812)	(86)	18,828	
67855	Chase Dean's Scholarship	12/14/99	10,000	6,000	14,726			(1,815)	(485)	17,246	
67870	Archle and Myrtle Carrell Scholarship	3/12/00	10,000	11,780	19,546			(35,511)	(402)	358,093	100,000
67885	Endowed Professor of Art	4/11/00	250,000	100,000	344,006		50,000	(10,121)	(448)	97,977	708
67890	Schulenberg Scholarship	5/30/00	50,000	62,050	107,788		758	(38,737)		370,782	84,000
67895	Chase Foundation Scholarship	9/28/00	250,000	83,000	326,519		83,000	(104,907)		1,025,685	360,171
67900	Bank of Kentucky Chair in Education	12/1/00	750,000	200,266	941,030		189,562	(23,586)		225,806	
67905	Thelma Louise Grein Physically Challenged	1/12/01	125,000	125,000	249,392			(23,525)		225,258	250,000
67910	Ron and Sherrie Noel Scholarship	2/15/01	250,000		248,783			(2,059)		117,941	80,000
67915	Family Business Center	5/29/01				100,000	20,000	(105,827)		1,894,173	
67925	Eva Farris Scholarship***	6/30/01				446,643	1,553,357	(8,850)	(2,297)	84,026	
67802	Hosea/Francis Scholarship	6/30/00	50,000	49,656	95,173			(581,620)	(52,006)	7,029,156	1,020,530
	<b>Sub-Total</b>		3,236,357	1,999,938	5,017,898	586,643	2,058,241	(581,620)	(52,006)	7,029,156	1,020,530
	<b>Grand Total</b>		3,236,357	1,999,938	5,017,898	586,643	2,058,241	(581,620)	(52,006)	7,029,156	1,020,530

\* Includes private only at June 30, 2002.

\*\* State match temporarily overlapped to fully fund entrepreneurship professorship as early as possible.

Overmatch was transferred to remaining entrepreneurship endowments as additional donor funds became available in second year of program

\*\*\* NKU Foundation advanced \$553,357 from internal sources pending application for additional match

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# **University of Kentucky**

**University of Kentucky  
Endowment Match Program  
2001-02 Summary Report**

The Research Challenge Trust Fund (RCTF) was established in 1997 with the passage of House Bill 1, the Kentucky Postsecondary Education Improvement Act, to encourage research activities at the University of Kentucky (UK) and the University of Louisville. Since that time, the Commonwealth of Kentucky has appropriated over \$133 million, which is matched by UK, for endowed faculty positions and programs.

RCTF assists UK in recruiting distinguished faculty who can successfully compete for research dollars and who are excellent teachers. These professors are developing, applying, and sharing new research in the sciences and humanities that will change the lives of Kentuckians and impact people across our nation and the world.

Many of the outstanding professors are focusing on critical areas of concern to the Commonwealth, such as treating and preventing diabetes, reducing underage smoking, enhancing the learning capabilities of children with disabilities, and improving treatments for those with Parkinson's disease and Alzheimer's disease. RCTF faculty received over \$24.9 million of awards in 2001-02 for 161 sponsored projects. Several examples of the outstanding research of the RCTF faculty follow.

***Advancing Kentucky Through Research***

**Ag and the Environment**

Tom Mueller is using satellite technology to improve crop yields.

**Diabetes**

Sabire Ozcan is working on the fundamental causes of diabetes, one of Kentucky's most common diseases.

**E-Commerce**

Terry Childers analyzes consumer shopping on the Web to understand the expanding role of e-commerce in Kentucky.

**Minimally Invasive Surgery**

Adrian Park trains students, nurses, and surgeons in minimally invasive procedures, and develops new techniques and instruments. Laparoscopic surgeries performed at UK are beamed to sites all over the world.

**Neurological Disease**

Greg Gerhardt is designing microsensors to measure chemicals in the brain for better treatments of diseases such as Alzheimer's and Parkinson's. UK now has a Morris K.

Udall Parkinson's Disease Research Center of Excellence.

**Substance Abuse**

Richard Clayton heads up a study in 30 Kentucky counties that is looking at how community, school, and individual factors relate to substance use, violence, and victimization of middle school students.

**Underage Smoking**

Melody Noland developed a program for teachers to help reduce underage smoking.

This report covers the activities and expenditures supported at the University of Kentucky under the Endowment Match Program. During fiscal year 2001-02, the university submitted eight requests for matching funds, totaling \$10,722,030, to the Council on Postsecondary Education. As of June 30, 2002, the council had processed five of those requests and disbursed \$8,588,454 to UK (Table 4). These funds were used to establish 38 new endowments and expand 13 existing endowments. During the fiscal year, four of the new endowments were combined into existing endowments. With the addition of these endowments, the match program has helped create or expand over 300 endowments valued at \$205,710,259 as of June 30, 2002 (Table 5).

**Endowment Activity**

Private donations and state matching funds supported the addition of 47 endowed activities at the University of Kentucky in 2001-02. The use of match program funds resulted in the addition of three endowed chairs, 17 endowed professorships, 16 fellowships, and 11 mission support projects in 2001-2002. A schedule of activities initiated during 2001-02 and the total activities initiated since the inception of the program as of June 30, 2002, is presented in Table 1.

Table 1: Annual and Cumulative Match Program Activities

Activity	2001-02		1998-02	
	Number of Positions or Projects	Number Occupied or Projects with Expenditures	Number of Positions or Projects	Number Occupied or Projects with Expenditures
Chairs	3	1	55	24
Professorships	17	0	140	75
Fellowships	16	0	116	47
Mission Support Projects	11	3	71	31
Totals	47	4	382	177

## Demographic Information

Since 1998, UK has appointed a total of 99 endowed chairs and professorships under the auspices of the Endowment Match Program. Of those appointments, 82 were male, and 17 were female. Of the 359 staff associated with the endowments, 238 (66 percent) are female and 121 (34 percent) are male. Demographic information on the appointed faculty and associated staff is provided in Tables 2 and 3.

Table 2: Gender of Endowment Match Program  
Faculty and Staff  
As of June 30, 2002

	Male	Female	Total
Chairs	21	3	24
Professorships	61	14	75
Staff	121	238	359
Total	203	255	458



Table 3: Race of Endowment Match Program Faculty and Staff  
As of June 30, 2002

	White	Black	Am. Indian	Asian	Hispanic	Total
Chairs	20	0	0	3	1	24
Professorships	63	1	0	11	0	75
Staff	286	26	0	45	2	359
Total	<u>369</u>	<u>27</u>	<u>0</u>	<u>59</u>	<u>3</u>	<u>458</u>

### Sources of Funds, Earnings, and Expenditures

During fiscal year 2001-02, the University of Kentucky received \$8,588,454 in donations, gifts, and pledges from private sources (Table 4) that were matched with state funds. About half of that amount, \$4,127,351, was received in cash with the remainder received in the form of pledges.

As of June 30, 2002, the total market value of the 382 endowed activities established under the Endowment Match Program was \$205,710,259 (Table 5). As of June 30, 2002, the University of Kentucky has received a total of \$128,227,209 in state funds and \$86,948,452 in matching gift funds. The university has outstanding pledges of \$41,278,758 related to these endowments as of June 30, 2002. Over \$6.5 million was expended from the endowments for program activities during the fiscal year ended June 30, 2002.

University of Kentucky  
Endowment Match Program  
Endowments Matched with State Funds During 2001-02

Table 4

GL Account Number	CPE Number	Endowment Name	Date Established/ Expanded	Private Funds			Total Received*
				Gifts (Cash Received)	Pledges	Matching State Funds	
ENDOWMENTS CREATED:							
0-51445	III-9	Joe A. & Cecil Dulin Wallace Fund	06/19/01	\$10,000	\$160,000	\$170,000	\$180,000
0-51547	III-9	Everett H. Metcalf, Jr. Professorship of Law	06/19/01	200,000	-	200,000	400,000
0-51558	III-9	Endowed Chair/Distinguished Scholar in Rural Health Policy	06/19/01	100,000	900,000	1,000,000	1,100,000
0-51546	III-9	UKCUP Endowment for Urologic Research	06/19/01	36,854	53,146	90,000	126,854
0-51516	III-9	Charles Parker Graves Endowed Professorship Fund	06/19/01	12,730	44,605	57,335	70,065
0-51529	III-9	Leslie L. Martin Endowed Fellowship Fund	06/19/01	50,000	-	50,000	100,000
0-51514	III-9	James & Mary Lassiter Endowed Fellowship	06/16/01	200,000	-	200,000	400,000
0-51515	III-9	James & Mary Lassiter Endowed Professorship	06/19/01	100,000	-	100,000	200,000
0-51542	III-9	Lucille Caudill Little Fine Arts Library & Learning Center Endowment Fund	06/19/01	1,000,000	-	1,000,000	2,000,000
0-51603	III-10	Susan Abbott-Jamieson Dissertation Research Fund in Anthropology	08/14/01	50,000	-	50,000	100,000
0-51580	III-10	Brown-Forman Visiting Chair in Urban Design	08/14/01	20,000	480,000	500,000	520,000
0-51601	III-10	Craile Foundation/Joan Craile Day Endowed Fellowship Fund	08/14/01	60,705	239,295	300,000	360,705
0-51565	III-10	Dr. Charles R. Combs Scholarship Fund	08/14/01	-	80,000	80,000	80,000
0-51544	III-10	Dr. Robert D. Hayes Endowed Graduate Fellowship Fund	08/14/01	10,000	40,000	50,000	60,000
0-51622	III-10	Mark and Ruth Luckens Graduate Award in Toxicology	08/14/01	50,000	-	50,000	100,000
0-51501	III-10	Robert M. Odear, '28 '30 Faculty Research Fund in Latin America	08/14/01	9,300	40,700	50,000	59,300
0-51552	III-10	Beaver-Eidetik Research Fellowship in Developmental Disabilities and Mental Retardation	08/14/01	8,433	41,567	50,000	58,433
0-51592	III-10	Royster Research Professorship	08/14/01	20,000	30,000	50,000	70,000
0-51569	III-11	Cardiology Associates of Kentucky Cardiology Fellowship Fund	09/18/01	50,000	200,000	250,000	300,000
0-51535	III-11	Violet Irene Eads Research Fund for Eye Tissue in the Lions Eye Bank of Lexington	09/18/01	44,574	11,426	56,000	100,574
0-51605	III-11	Michael D. Rankin, M.D. Community Scholarship Endowment	09/18/01	100,000	100,000	200,000	300,000
0-51573	III-11	Richard K. Brautigam Professorship in Juvenile, Criminal and Social Justice	09/18/01	10,500	39,500	50,000	60,500
0-51599	III-11	Rebecca Cunningham Van Meter Endowment Fund	09/18/01	10,000	40,000	50,000	60,000
0-51553	III-11	Scott V. Breckenridge Professorship in Intelligence Education	09/18/01	11,500	40,915	52,415	63,915
0-51400	III-13	Vernon R. Wiehe Research Fellowship in Family Violence	01/22/02	25,285	24,715	50,000	75,285
0-51566	III-13	JoAnn I Bell Endowment in Social Policy and Critical Thinking	01/22/02	24,209	25,791	50,000	74,209
0-51606	III-13	Ralph E. & Norma L. Edwards Research Professorship	01/22/02	100,493	-	100,493	200,986
0-51609	III-13	James Graham Brown Foundation Endowed Chair in Neuroscience	01/22/02	500,066	-	500,066	1,000,132
0-51610	III-13	James Graham Brown Foundation Endowed Professorship in Forestry & Environmental Science	01/22/02	500,065	-	500,065	1,000,130
0-51611	III-13	Rast-Holbrook Research and Seminar Fund	01/22/02	11,792	43,663	55,455	67,247
0-51613	III-13	Association of Emeritus Faculty Fellowship Endowment Fund	01/22/02	30,000	20,000	50,000	80,000
0-51635	III-13	Donald L. and Gertrude L. Lester Professorship in Mechanical Engineering	01/22/02	-	50,000	50,000	50,000
0-51418	III-14	ALCA	03/05/02	27,764	22,236	50,000	77,764
0-51596	III-14	Kenneth H. and Susan W. Beard Graduate Fellowship Fund	03/05/02	50,310	199,690	250,000	300,310
0-51617	III-14	David B. Stevens, M.D. Professorship or Chair in Orthopaedic Surgery	03/05/02	10,000	40,000	50,000	60,000

\* Total gift and state funds received. Does not include pledges.

10/29/2002

University of Kentucky  
Endowment Match Program  
Endowments Matched with State Funds During 2001-02

Table 4

GL Account Number	CPE Request Number	Endowment Name	Date Established/ Expanded	Private Funds			Total Received*
				Gifts (Cash Received)	Pledges	Matching State Funds	
0-51618	III-14	Charlotte R. Schmidlapp Fellowship in Gynecologic Oncology	03/05/02	.	100,000	100,000	100,000

\* Total gift and state funds received. Does not include pledges.

10/29/2002

University of Kentucky  
Endowment Match Program  
Endowments Matched with State Funds During 2001-02

Table 4

GL Account Number	CPE Request Number	Endowment Name	Date Established/ Expanded	Private Funds				Total Received*
				Gifts (Cash Received)	Pledges	Total	Matching State Funds	
0-51624	III-14	Hellman Family Fund in the Division of Infectious Diseases	03/05/02	10,000	40,000	50,000	50,000	60,000
0-51651	III-14	Affordable Housing Design Research Fund	03/05/02	-	300,000	300,000	300,000	300,000
				<b>\$3,454,580</b>	<b>\$3,407,249</b>	<b>\$6,861,829</b>	<b>\$6,861,829</b>	<b>\$10,316,409</b>
		<b>TOTAL ENDOWMENTS CREATED</b>						
		<b>ENDOWMENTS EXPANDED:</b>						
0-51185	III-9	W. T. Young - Oxley Foundation	06/19/01	\$100,000	\$400,000	\$500,000	\$500,000	\$600,000
0-51484	III-9	Harold R. Burton Endowed Professorship in Plant Biochemistry	01/23/01	128,374	93,501	221,875	221,875	350,249
0-51700	III-10	Thomas C. and Evelyn W. Finnie Endowment Fund	08/14/01	0	51,657	51,657	51,657	51,657
0-51513	III-10	Pharmaceutical Technology Lectureship, Professorship or Chair	08/14/01	58,929	1,071	60,000	60,000	118,929
0-55012	III-10	UK Medical Alumni Association Term Endowment Fund	12/11/01	250,000	0	250,000	250,000	500,000
0-51410	III-10	Helen Edwards Abell Endowed Research Fund in Historic Preservation	08/15/01	0	50,100	50,100	50,100	50,100
0-51264	III-10	Zantker Charitable Foundation Professorship in Judaic Studies	08/14/01	0	150,000	150,000	150,000	150,000
0-51532	III-10	Kentucky Economic Development Endowment for Science & Technology	05/01/01	19,643	0	19,643	19,643	39,286
0-51264	III-11	Zantker Charitable Foundation Professorship in Judaic Studies	05/04/99	0	73,350	73,350	73,350	73,350
0-51216	III-11	Merck & Co. Pulmonary Medicine Professorship	09/18/01	10,000	40,000	50,000	50,000	60,000
0-51616	III-13	Lucille Caudill Little Endowed Research Fund in Opera Studies	01/22/02	5,825	194,175	200,000	200,000	205,825
0-51568	III-13	Edith D. Gardner	01/23/01	50,000	0	50,000	50,000	100,000
0-51407	III-13	Robert L. Johnson Scholarship Fund	08/15/00	50,000	0	50,000	50,000	100,000
				<b>\$672,771</b>	<b>\$1,053,854</b>	<b>\$1,726,625</b>	<b>\$1,726,625</b>	<b>\$2,399,396</b>
		<b>TOTAL ENDOWMENTS MATCHED WITH STATE FUNDS DURING 2001-02</b>						
				<b>\$4,127,351</b>	<b>\$4,461,103</b>	<b>\$8,588,454</b>	<b>\$8,588,454</b>	<b>\$12,715,805</b>

\* Total gift and state funds received. Does not include pledges.

10/29/2002

University of Kentucky  
Endowment Match Program  
Statement of Changes in Endowments  
Fiscal Year 2001-02

Table 5

GL Account Number	RCTF Request Number	Endowment Name	Date Established	Prior to 07/01/01		Fiscal Year 2001 - 2002 Activity										Market Value as of 06/30/02	Outstanding Pledges as of 06/30/02	Expenditures 2001 - 2002
				State Funds Received	Gifts Received	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts other Than RCTF	Investment Earning (Loss)	Allocated Expenditure	Funds Reinvested	Management Fee	Other*	Market Value 06/30/02			
NEW ENDOWMENTS:																		
0-51445	III-9	Joe A. & Cecil Duin Wallace Fund	05/19/01	\$0	\$5,000	\$4,721	\$170,000	\$5,000	\$0	(\$8,550)	(\$4,461)	\$0	\$0	(\$0)	\$166,709	\$160,000	\$0	
0-51547	III-9	Everett H. Metcalf, Jr. Professorship of Law	05/19/01	-	-	-	200,000	200,000	-	(6,637)	(11,728)	-	-	0	381,635	900,000	5,197	
0-51558	III-9	Endowed Chair/Distinguished Scholar in Rural Health Policy	05/19/01	-	-	-	1,000,000	100,000	-	(50,848)	(27,988)	-	-	(0)	1,021,164	900,000	-	
0-51546	III-9	UKCUP Endowment for Urologic Research	05/19/01	-	-	-	90,000	36,854	-	(6,278)	(3,018)	3,018	(2)	0	120,574	53,146	-	
0-51516	III-9	Charles Parker Graves Endowed Professorship Fund	06/19/01	-	7,460	7,371	57,335	5,270	-	(3,412)	(1,889)	-	(29)	(0)	64,646	44,605	-	
0-51529	III-9	Leslie L. Martin Endowed Fellowship Fund	05/19/01	-	50,000	49,458	50,000	-	784	(5,257)	(3,570)	-	(570)	(0)	90,846	-	-	
0-51514	III-9	James & Mary Lassiter Endowed Fellowship	05/16/01	-	200,000	197,996	200,000	-	-	(20,869)	(18,875)	3,929	(162)	(0)	361,999	-	-	
0-51515	III-9	James & Mary Lassiter Endowed Professorship	05/19/01	-	50,000	49,499	100,000	50,000	-	(10,745)	(6,937)	992	-	0	182,799	-	4,559	
0-51542	III-9	Lucille Caudill Little Fine Arts Library & Learning Center Endowment Fund	05/19/01	-	-	-	1,000,000	1,000,000	2,500	(171,721)	(110,824)	-	-	1,000,000	2,719,964	-	65,715	
0-51603	III-10	Susan Abbott-Jameson Dissertation Research Fund in Anthropology	05/14/01	-	-	-	50,000	50,000	-	(5,827)	(1,610)	-	(370)	-	91,994	-	-	
0-51580	III-10	Brown-Forman Visiting Chair in Urban Design	05/14/01	-	-	-	500,000	20,000	-	(26,872)	(8,690)	-	(1,775)	0	482,562	480,000	1,300	
0-51601	III-10	Cralle Foundation/Jean Cralle Day Endowed Fellowship Fund	05/14/01	-	-	-	300,000	60,705	-	(18,818)	(6,348)	-	-	0	335,539	239,295	-	
0-51565	III-10	Dr. Charles R. Combs Scholarship Fund	05/14/01	-	-	-	80,000	-	-	(4,321)	(1,301)	1,301	(266)	(0)	75,413	80,000	-	
0-51544	III-10	Dr. Robert D. Hayes Endowed Graduate Fellowship Fund	05/14/01	-	-	-	50,000	10,000	-	(2,529)	(1,154)	-	-	0	56,317	40,000	-	
0-51622	III-10	Mark and Ruth Luckens Graduate Award in Toxicology	05/14/01	-	-	-	50,000	50,000	-	(4,931)	(820)	-	(167)	0	94,082	-	-	
0-51501	III-10	Robert M. Odear '28 '30 Faculty Research Fund in Latin America	05/14/01	-	4,250	4,124	50,000	5,050	-	(3,210)	(1,139)	1,139	-	(0)	55,963	40,700	-	
0-51552	III-10	Beaven-Edgick Research Fellowship in Developmental Disabilities and Mental Retardation	05/14/01	-	-	-	50,000	8,433	-	(3,092)	(969)	969	-	(0)	55,341	41,567	-	
0-51592	III-10	Royster Research Professorship	05/14/01	-	-	-	50,000	20,000	-	(3,345)	(1,264)	-	(258)	(0)	65,132	30,000	-	
0-51569	III-11	Cardiology Associates of Kentucky Cardiology Fellowship Fund	05/18/01	-	-	-	250,000	50,000	-	(19,277)	(3,059)	3,059	-	0	280,723	200,000	-	
0-51535	III-11	Violet Irene Eads Research Fund for Eye Tissue in the Lons Eye Bank of Lexington	05/18/01	-	35,000	34,448	66,000	9,574	-	(4,964)	(3,308)	3,308	(112)	(0)	94,946	11,426	-	
0-51605	III-11	Michael D. Rankin, M.D. Community Scholarship Endowment	05/18/01	-	-	-	200,000	100,000	-	(20,704)	(3,612)	3,612	-	(0)	279,296	100,000	-	
0-51573	III-11	Richard K. Braultgarn Professorship in Juvenile, Criminal and Social Justice	05/18/01	-	-	-	50,000	10,500	-	(2,518)	(1,532)	1,532	-	(0)	57,982	39,500	-	
0-51599	III-11	Rebecca Cunningham Van Meter Endowment Fund	05/18/01	-	-	-	50,000	10,000	-	(2,927)	(1,379)	-	-	(0)	55,594	40,000	-	
0-51553	III-11	Scott V. Breckenridge Professorship in Intelligence Education	05/18/01	-	-	-	52,415	11,500	-	(3,257)	(1,729)	1,729	-	-	60,558	40,915	-	
0-51400	III-13	Vernon R. Wiene Research Fellowship in Family Violence	01/22/02	-	25,075	23,745	50,000	210	-	(3,792)	(1,119)	-	(210)	0	68,833	24,715	-	
0-51566	III-13	JoAnn I. Bell Endowment in Social Policy and Critical Thinking	01/22/02	-	-	-	50,000	24,209	-	(3,324)	(566)	566	-	0	70,985	25,791	-	

\*Adjustment for gifts received but not matched.

10/29/2002

University of Kentucky  
Endowment Match Program  
Statement of Changes in Endowments  
Fiscal Year 2001-02

Table 5

GL Account Number	RCIF Request Number	Endowment Name	Date Established	Fiscal Year 2001 - 2002 Activity													Outstanding Pledges as of 06/30/02	Expenditures 2001 - 2002				
				Prior to 07/01/01		Fiscal Year 2001 - 2002 Activity																
				State Funds Received	Gifts Received	Gifts other Than RCIF	Investment Earning (Loss)	Allocated for Expenditure	Allocated for Funds Reinvested	Management Fee	Other*	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts other Than RCIF	Investment Earning (Loss)	Allocated for Expenditure	Allocated for Funds Reinvested	Management Fee	Other*	Market Value 06/30/02	
0-51606	III-13	Ralph E. & Norma L. Edwards Research Professorship	01/22/02	-	-	-	-	100,493	100,493	-	(11,278)	(2,017)	2,017	(331)	(0)	-	-	-	-	-	-	189,378
0-51609	III-13	James Graham Brown Foundation Endowed Chair in Neuroscience	01/22/02	-	-	-	-	500,066	500,066	10,040	(56,118)	(10,039)	-	(1,645)	(1)	-	-	-	-	-	-	942,368
0-51610	III-13	James Graham Brown Foundation Endowed Professorship in Forestry & Environmental Sciences	01/22/02	-	-	-	-	500,065	500,065	-	(56,118)	(10,039)	10,039	(1,645)	1	-	-	-	-	-	-	942,368
0-51611	III-13	Rast-Hobbrook Research and Seminar Fund	01/22/02	-	-	-	-	55,455	11,792	-	(3,477)	(94)	94	(10)	0	-	-	-	-	-	-	53,760
0-51613	III-13	Association of Emeritus Faculty Fellowship Endowment Fund	01/22/02	-	-	-	-	50,000	30,000	-	(4,011)	(488)	488	-	0	-	-	-	-	-	-	75,989
0-51635	III-13	Donald L. and Gertrude L. Lester Professorship in Mechanical Engineering	01/22/02	-	-	-	-	50,000	-	-	(2,385)	-	-	-	(0)	-	-	-	-	-	-	47,615
0-51418	III-14	ALCA	03/05/02	-	26,744	-	-	50,000	1,020	-	(3,942)	(1,347)	1,347	-	0	-	-	-	-	-	-	74,128
0-51596	III-14	Kenneth H. and Susan W. Beard Graduate Fellowship Fund	03/05/02	-	-	-	-	250,000	50,310	-	(14,269)	(1,223)	-	(210)	(0)	-	-	-	-	-	-	234,608
0-51617	III-14	David B. Stevens, M.D. Professorship or Chair in Orthopaedic Surgery	03/05/02	-	-	-	-	50,000	10,000	-	(2,935)	(41)	41	-	(0)	-	-	-	-	-	-	57,065
0-51618	III-14	Charlotte R. Schmidlopp Fellowship in Gynecologic Oncology	03/05/02	-	-	-	-	100,000	-	-	(4,771)	-	-	-	(0)	-	-	-	-	-	-	95,229
0-51624	III-14	Heilman Family Fund in the Division of Infectious Diseases	03/05/02	-	-	-	-	50,000	10,000	-	(2,831)	(123)	123	(17)	(0)	-	-	-	-	-	-	57,152
0-51651	III-14	Affordable Housing Design Research Fund	03/05/02	-	-	-	-	300,000	-	-	(14,312)	-	-	-	(0)	-	-	-	-	-	-	285,688
TOTAL NEW ENDOWMENTS				\$0	\$403,529	\$3,051,051	\$13,325	\$6,861,829	\$3,051,051	\$13,325	(\$594,692)	(\$254,499)	\$39,231	(\$7,778)	\$1,000,000	\$10,507,039	\$3,407,249	\$76,771				
EXISTING ENDOWMENTS:																						
0-50396	II-1	W. Hugh Peel Collection	09/16/97	\$200,000	\$200,000	\$0	\$0	\$0	\$0	\$0	(\$30,273)	(\$24,099)	\$0	\$0	0	\$457,177	\$0	\$19,844				
0-50771	II-1	James F. Glenn Professorship in Urology	09/19/00	-	-	-	-	-	-	-	(5,489)	(4,369)	-	-	-	-	-	43,883				
0-51209	II-1	Geraldine L. Cosby Scholarship	12/09/97	45,000	45,000	-	92,742	-	-	-	(61,398)	(48,877)	-	-	0	82,864	-	2,900				
0-51281	II-1	Jack & Linda Gill Chair in Internal Medicine	05/04/99	1,000,000	1,000,000	-	1,037,498	-	-	-	(69,955)	(47,729)	-	-	0	927,223	-	93,511				
0-51309	II-1	Linda C. Gill Chair in Nursing	05/04/99	1,000,000	1,000,000	-	1,013,120	-	-	-	(12,173)	(9,691)	-	-	0	905,436	-	35,128				
0-51342	II-1	Joseph Hamburg Professorship in Rehabilitation Services	05/04/99	200,000	200,000	-	205,998	-	-	-	(11,828)	(9,415)	-	-	0	183,834	-	7,484				
0-51307	II-1	Alvin L. Morris Professorship in Oral Health	05/04/99	200,000	200,000	-	199,858	-	-	-	(12,335)	(9,820)	-	-	0	178,615	-	10,832				
0-51304	II-1	Jacqueline A. Noonan, M.D. Professorship in Pediatrics	05/04/99	200,000	200,000	-	206,453	-	-	-	(11,828)	(9,415)	-	-	0	186,286	-	-				
0-51310	II-1	Marcia A. Dake Professorship in Nursing	05/04/99	200,000	200,000	-	199,858	-	-	-	(12,131)	(9,657)	-	-	0	178,615	-	-				
0-51312	II-1	Harry B. Kosterbauder Fund Excellence in Pharmaceutical Science and Graduate Education	05/04/99	200,000	200,000	-	204,982	-	-	-	(56,678)	(45,120)	-	-	0	183,195	-	2				
0-51282	II-1	Gill Foundation Chair in Preventive Cardiology	01/20/98	-	-	1,000,000	957,742	-	-	-	(11,538)	(9,185)	-	-	0	855,944	(1,000,000)	-				
0-51330	II-1	Gill Foundation Professorship in Interventional Cardiology	01/20/98	-	-	200,000	195,201	-	-	-	(11,552)	(9,196)	-	-	0	174,453	(200,000)	8,579				
0-51329	II-1	Jeff Gill Professorship in Cardiac Anesthesiology	01/20/98	-	-	200,000	194,971	-	-	-	(11,538)	(9,185)	-	-	0	174,248	(200,000)	-				
0-51144	II-1	Gill Professorship in Pediatric Cardiology	01/20/98	-	-	200,000	225,637	-	-	-	(13,353)	(10,630)	-	-	(0)	201,654	(200,000)	10,210				
0-51328	II-1	Jason Gill Professorship in Cardiac Radiology	01/20/98	-	-	200,000	194,971	-	-	-	(11,538)	(9,185)	-	-	0	174,248	(200,000)	-				
0-51327	II-1	Tyler Gill Professorship in Vascular Surgery	01/20/98	-	-	200,000	194,971	-	-	-	(11,538)	(9,185)	-	-	0	174,248	(200,000)	10,300				

\*Adjustment for gifts received but not matched.

10/29/2002

Table 5

University of Kentucky  
Endowment Match Program  
Statement of Changes in Endowments  
Fiscal Year 2001-02

Prior to 07/01/01				Fiscal Year 2001 - 2002 Activity										Outstanding Pledges as of 06/30/02 (1,000,000)		Expenditures 2001 - 2002	
GL Account Number	RCIF Request Number	Endowment Name	Established Date	State Funds Received	Gifts Received	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts other Than RCIF	Investment Earning (Loss)	Allocated for Expenditure	Funds Reinvested	Management Fee	Other*	Market Value 06/30/02 (1,000,000)		
0-51648	II-1	Gill Heart Institute Endowment	01/20/99	500,000	600,000	1,034,879	-	1,000,000	-	124,001	(48,754)	-	-	-	1,124,001	-	-
0-51273	II-1	Charles T. Wehington, Jr. Graduate and Professional Fellowship	05/08/99	-	-	1,034,879	-	-	-	(61,243)	(48,754)	-	-	-	924,883	-	-
0-51145	II-1	Gartner Group Chair in Network Engineering	09/15/99	900,000	900,000	1,931,946	-	-	-	(114,330)	(91,015)	-	-	(0)	1,726,601	-	72,212
0-51185	II-1	W. T. Young Library	09/15/99	5,000,000	5,000,000	30,644,241	-	-	(8,830)	(2,386,701)	(797,282)	-	-	(18,339,709)	9,111,719	-	1,155,666
0-51185	II-1	Endowment for Saunders Brown Center on Aging Library	09/15/98	(1,000,000)	(1,000,000)	-	-	-	-	-	-	-	-	-	-	-	-
0-51185	II-1	Library Endowment for Markey Cancer Center	09/15/98	(1,000,000)	(1,000,000)	-	(500,000)	(500,000)	-	-	-	-	-	1,000,000	-	-	-
0-51185	II-1	WT Young Library STM Account	09/15/99	-	-	-	(395,427)	(2,500,000)	-	147,319	397,282	(4,469)	-	2,345,316	-	2,114,573	-
0-51522	II-1	William T. Young Library Endowment for Saunders Brown Center on Aging	09/15/99	1,000,000	1,000,000	1,921,195	-	-	-	(113,693)	(60,509)	-	-	0	1,716,992	-	21,490
0-51523	II-1	William T. Young Library Endowment for Markey Cancer Center	09/15/99	1,000,000	1,000,000	1,921,195	500,000	500,000	-	(129,780)	(119,732)	-	-	(0)	2,671,583	-	36,996
0-51570	II-1	W. T. Young STM Library Endowment Fund	09/15/99	-	-	-	12,847,570	8,529,586	9,330	(26,526)	(1,982,443)	15,249	-	(0)	19,392,766	4,317,984	490,686
0-51198	II-1	Major Endowed Research Fund in Veterinary Science	03/02/99	1,551,100	-	1,873,595	-	-	-	(110,863)	(68,271)	-	-	0	1,674,541	1,551,100	95,440
0-51217	II-1	Knight Chair Endowment Supplement	03/02/99	1,250,000	-	1,271,735	-	-	-	296,793	(59,912)	-	(22,482)	466,000	1,952,134	1,250,000	1,607
0-51218	II-1	Albert G. Gray Endowed Chair in Equine Reproduction	03/02/99	3,250,000	-	3,306,513	-	-	-	(195,675)	(155,772)	-	-	0	2,965,066	3,250,000	-
0-51220	II-1	Clemantine Mill Schalliker Chair in Equine Infectious Diseases	03/02/99	-	3,125,171	3,306,121	-	342,037	217,403	25,239	(155,754)	-	17,751	(0)	3,752,798	(3,467,208)	1,033
0-51221	II-1	William Robert Mills Chair in Equine Infectious Diseases	03/02/99	-	3,125,171	3,306,121	-	342,037	217,403	25,239	(155,754)	-	17,751	(0)	3,752,798	(3,467,208)	1,033
0-51223	II-1	John A. Morris Equine Library Endowed Research & Mission Support Fund	03/02/99	1,000,000	-	1,017,388	-	-	-	(60,208)	(47,930)	-	-	(0)	909,251	1,000,000	53,205
0-51657	II-1	Professorship in Veterinary Sciences I - Schalliker	09/11/02	-	-	-	-	250,000	-	(11,926)	-	-	-	0	238,074	(250,000)	-
0-51658	II-1	Professorship in Veterinary Sciences II - Schalliker	06/11/02	-	-	-	-	250,000	-	(11,926)	-	-	-	0	238,074	(250,000)	-
0-51201	II-1	Verizon South Inc. Chair in Network Engineering	10/20/98	900,000	900,000	1,865,996	-	-	-	(110,427)	(87,908)	-	-	0	1,667,661	-	143,120
0-51161	II-1	Raymond Civil Engineering Endowment Fund	10/20/98	2,000,000	1,500,000	3,731,081	-	250,000	-	(236,291)	(186,575)	-	-	(0)	3,558,216	250,000	101,979
0-51197	II-1	Ashland Inc. Chair in Chemical Engineering	10/20/98	1,000,000	600,000	1,675,826	-	200,000	-	(108,529)	(83,819)	-	-	0	1,683,479	200,000	36,384
0-51170	II-1	McDowell Cancer Foundation Chair in the Lucille P. Markey Cancer Center	12/09/98	1,000,000	600,000	1,710,996	-	-	-	(101,254)	(80,606)	-	-	0	1,529,135	400,000	82,948
0-51171	II-1	Markey Cancer Center Chair in Clinical Research	12/09/98	1,000,000	600,000	1,732,530	-	-	-	(102,529)	(81,621)	-	-	(0)	1,548,381	400,000	-
0-50315	II-1	Robert L. Bergen Professorship in Ophthalmology	12/09/98	200,000	200,000	446,270	-	-	-	(26,410)	(21,024)	-	-	-	398,836	-	16,463
0-51208	II-1	Robert G. (Robin) Luke, M.D. Endowed Research Chair of Nephrology	12/09/98	500,000	500,000	1,048,328	-	-	-	(62,039)	(48,387)	-	-	0	936,902	-	44,876
0-51211	II-1	Children's Miracle Network Research Professorship/Chair in Pediatrics, Number 1	12/09/98	500,000	300,000	845,579	-	-	-	(50,040)	(39,836)	-	-	0	755,703	200,000	24,278
0-51212	II-1	Children's Miracle Network Research Professorship/Chair in Pediatrics, Number 2	12/09/98	500,000	300,000	845,555	-	-	-	(50,039)	(39,835)	-	-	(0)	755,662	200,000	-
0-51166	II-1	Paul G. Blazer, Jr. Professorship in Stroke	12/09/98	250,000	150,000	428,100	-	50,000	-	(26,198)	(21,676)	-	-	(0)	431,226	50,000	-
0-51210	II-1	R.C. Durr Foundation Chair in Alzheimer's Disease	12/09/98	500,000	350,000	898,805	-	150,000	-	(60,277)	(44,169)	-	-	(0)	944,359	-	-

\*Adjustment for gifts received but not matched.

10/29/2002

Table 5  
University of Kentucky  
Endowment Match Program  
Statement of Changes in Endowments  
Fiscal Year 2001-02

GL Account Number	RCIF Request Number	Endowment Name	Date Established	Prior to 07/01/01			Fiscal Year 2001 - 2002 Activity										Outstanding Pledges as of 06/30/02	Expenditures	
				State Funds Received	Gifts Received	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts other Than RCIF	Investment Earnings (Loss)	Funds Allocated for Expenditure	Allocated Funds Reinvested	Management Fee	Other*	Market Value 06/30/02	2001 - 2002		2002 - 2003	
0-51213	II-1	US Surgical Corporation-Minimally Invasive Surgery Center Professorship #1	12/08/98	100,000	60,000	166,144	-	-	40,000	-	(11,741)	(7,827)	-	-	0	186,576	-	7,434	
0-61214	II-1	US Surgical Corporation-Minimally Invasive Surgery Center Professorship #1	12/08/98	-	-	145,978	-	-	-	-	(8,633)	(6,872)	-	-	0	130,373	-	-	
0-51215	II-1	Floyd H. Wright Research Endowment	12/08/98	500,000	400,000	1,424,414	-	-	100,000	-	(94,051)	(69,547)	-	-	100,000	1,460,816	-	42,286	
0-51180	II-1	State Research Challenge Trust Endowment Program	03/02/99	313,568	-	229,182	-	-	-	-	(14,055)	(11,001)	11,001	-	-	215,126	-	313,568	
0-51250	II-1	Chair in Public Finance in the Martin School of Public Policy & Administration	03/02/99	500,000	500,000	1,070,969	-	-	-	-	(63,379)	(50,454)	-	-	-	957,136	-	50,454	
0-61251	II-1	Chair in Special Education	03/02/99	500,000	500,000	1,070,969	-	-	-	-	(63,379)	(50,454)	-	-	-	957,136	-	44,214	
0-51252	II-1	Chair in Vocal Music	03/02/99	500,000	500,000	1,070,969	-	-	-	-	(63,379)	(50,454)	-	-	-	957,136	-	49,629	
0-51258	II-1	William T. Bryan University Chair (name change 04/2002)	03/02/99	500,000	500,000	1,070,969	-	-	-	-	(63,379)	(50,454)	-	-	-	957,136	-	-	
0-51260	II-1	William T. Bryan Endowed Chair in Spanish	03/02/99	500,000	500,000	1,070,969	-	-	-	-	(63,379)	(50,454)	-	-	-	957,136	-	48,981	
0-51261	II-1	William T. Bryan Endowed Chair in History	03/02/99	500,000	500,000	1,070,969	-	-	-	-	(63,379)	(50,454)	-	-	-	957,136	-	52,288	
0-51437	II-1	William T. Bryan Library Endowment Fund	09/15/98	666,432	666,432	1,352,553	-	-	-	-	(80,042)	(63,720)	-	-	-	1,208,791	-	64,347	
0-51175	II-1	Drs. Barbara and James Heisinger Professorship in Anatomy	12/08/98	50,000	50,000	165,129	-	-	-	-	(11,396)	(8,945)	-	-	10,000	174,886	-	261	
0-51243	II-1	Real Estate Professorship Endowment	12/08/98	166,300	166,300	442,391	-	-	-	-	(26,180)	(20,841)	-	-	0	395,369	-	11,829	
0-50970	II-1	C. M. Galton Endowment Fund	03/02/99	-	-	4,536,435	-	-	1,150	-	(266,581)	(212,940)	-	(43,532)	0	4,014,532	-	201,078	
0-51227	II-1	C. M. Galton Endowment Fund - Professors	03/02/99	2,000,000	673,947	2,769,924	-	166,200	-	-	(167,540)	(136,104)	-	-	0	2,648,480	-	90,353	
0-51228	II-1	C. M. Galton Endowment Fund - Chairs	03/02/99	6,000,000	2,277,793	8,611,779	-	498,675	250,000	-	(533,785)	(430,074)	-	-	0	8,395,994	-	677,083	
0-51229	II-1	C. M. Galton Endowment Fund - Faculty Research & Development	03/02/99	2,000,000	2,000,000	4,419,273	-	-	-	-	(261,526)	(208,195)	-	-	0	3,949,552	-	243,629	
0-51231	II-1	C. M. Galton Endowment Fund - Scholarships	03/02/99	1,024,500	345,184	1,427,043	-	-	85,125	-	(86,820)	(59,717)	-	-	(0)	1,356,631	-	594,191	
0-51074	II-1	Gertrude F. Ribble Academic Fund	06/10/97	1,000,000	1,000,000	2,436,121	-	-	-	-	(144,166)	(114,767)	-	-	0	2,177,188	-	50,373	
0-51207	II-1	Margaret Logan CoVn Chair in Lung Disease Research	03/02/99	476,800	476,800	1,425,098	-	-	-	-	(84,335)	(57,137)	-	-	0	1,273,625	-	-	
0-51182	II-2	Barnstable-Brown Chair in Diabetes Research	03/02/99	500,000	300,000	815,281	-	-	100,000	-	(54,610)	(40,408)	-	-	0	820,262	-	-	
0-51226	II-2	Alan F. & Irene Bloomfield Professorship Fund	03/02/99	150,000	50,000	205,606	-	-	30,000	-	(13,658)	(9,733)	-	-	0	213,215	-	-	
0-51238	II-2	Carol Lee Robertson Endowed Professorship in Literacy	03/02/99	160,000	60,225	225,680	-	18,373	-	-	(14,334)	(10,974)	-	-	(0)	219,744	-	81,402	
0-50703	II-2	Constance Wilson Endowed Professorship in Mental Health	03/02/99	50,000	50,000	132,390	-	-	-	1,960	(7,916)	(6,283)	-	-	(0)	120,152	-	6,016	
0-51302	II-2	Professorship and Chair in Neurosurgery	03/02/99	500,000	300,000	812,142	-	-	100,000	58,325	(55,205)	(40,383)	-	-	0	874,879	-	-	
0-51306	II-2	Radiation Medicine Chair	03/02/99	1,000,000	137,351	1,172,852	-	68,574	-	-	(71,378)	(56,711)	-	-	0	1,113,637	-	56,711	
0-51280	II-2	Endowed Professorship in Cardiology	03/02/99	50,000	50,000	171,707	-	-	10,000	-	(10,026)	(8,432)	-	-	0	163,249	-	8,399	
0-51288	II-2	Robert L. Laster Professorship in Biochemistry	03/02/99	52,000	52,000	123,082	-	-	-	-	(7,284)	(5,798)	-	-	-	110,000	-	3,289	
0-51311	II-2	George Schwert Professorship in Biochemistry	03/02/99	165,000	90,000	259,963	-	-	55,000	-	(17,806)	(12,925)	-	-	(0)	284,232	-	-	
0-51313	II-2	Antonio S. Turco Professorship in Biochemistry	03/02/99	218,000	218,000	441,878	-	-	-	-	(25,150)	(20,817)	-	-	0	394,911	-	14,566	
0-51271	II-2	Non Tenured Faculty Research Enrichment Fund	03/02/99	150,000	121,000	273,511	-	-	29,000	-	(17,774)	(13,004)	-	-	(0)	271,734	-	5,258	
0-51083	II-2	Sillies & Harbison Law Professorship of Law	03/02/99	72,500	12,000	133,591	-	-	11,500	8,100	15,417	(12,374)	-	(1,167)	0	155,058	-	49,000	

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University of Kentucky  
Endowment Match Program  
Statement of Changes in Endowments  
Fiscal Year 2001-02

GL Account Number	RCTF Request Number	Endowment Name	Date Established	Fiscal Year 2001 - 2002 Activity										Outstanding Pledges as of 06/30/02	Expenditures 2001 - 2002
				Prior to 07/01/01	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts Received Than RCTF	Investment Earning (Loss)	Allocated for Expenditure	Reinvested	Management Fee	Other*	Market Value 06/30/02	
0-51162	II-2	George E. Mitchell, Jr. Graduate Fellowship Fund for Anima Sciences	03/02/99	120,000	185,948	-	52,943	21,480	(12,602)	(9,398)	-	-	(0)	35,577	11,250
0-51253	II-2	Laramie L. Leatherman Professorship of Law II	03/02/99	100,000	200,735	-	100,000	-	(11,880)	(9,457)	-	-	(0)	179,339	10,948
0-50517	II-2	Laramie L. Leatherman Professorship of Law	03/02/99	-	511,985	-	-	125	(30,307)	(24,122)	-	-	0	457,631	26,213
0-51237	II-2	Patterson School of Diplomacy fund for Excellence Graduate Intern Research Fellowship	03/02/99	74,300	166,716	-	74,300	-	(10,571)	(8,247)	8,247	(1,686)	0	162,859	-
0-51252	II-2	Graduate Intern Research Fellowship - Patterson School of Diplomacy	03/02/99	-	-	-	-	-	-	-	-	-	-	-	-
0-50894	II-2	H. Bruce Price Professorship in Agricultural Economics	03/02/99	-	73,647	-	50,000	-	(4,484)	(3,522)	3,522	(720)	-	68,444	-
0-51315	II-2	H. Bruce Price	03/02/99	50,000	51,874	-	-	-	(3,070)	(2,444)	-	-	(0)	46,350	204
0-51255	II-2	James C. Vanmeter Graduate and Research Fund	03/02/99	130,000	225,164	-	30,000	20,000	(14,326)	(11,013)	-	-	0	219,824	2,000
0-51159	II-2	Lewis Cockran Graduate Fellowship Fund	03/02/99	52,000	134,537	-	52,000	-	(8,026)	(6,358)	-	-	(0)	121,154	4,525
0-51247	II-2	Lexington Herald-Leader Fellowship Endowment	03/02/99	100,000	155,061	-	50,000	16,667	(3,912)	(7,511)	-	-	0	134,325	8,801
0-51207	II-2	Margaret Logan Colvin Chair in Lung Disease Research	03/02/99	187,100	-	-	187,100	-	-	-	-	-	-	-	-
0-51289	II-2	University Professorship in Dentistry	03/02/99	-	13,165	-	10,000	(10,000)	(5,011)	1,845	-	-	0	-	-
0-51290	II-2	University Professorship in Nursing	03/02/99	-	13,165	-	10,000	(4,000)	(5,341)	1,703	-	-	0	5,527	-
0-51291	II-2	University Professorship in Medicine	03/02/99	-	13,165	-	10,000	74,000	5,737	(6,704)	1,773	-	(0)	84,989	2,338
0-51292	II-2	University Professorship in Pharmacy	03/02/99	100,000	130,920	-	30,000	-	(7,748)	(6,166)	-	-	0	117,004	70,000
0-51293	II-2	University Professorship in Allied Health Education	03/02/99	100,000	136,271	-	30,000	-	(8,065)	(6,420)	-	-	(0)	121,757	3,907
0-51294	II-2	University Professorship in Graduate Medical Education	03/02/99	100,000	131,147	-	30,000	-	(7,761)	(6,178)	-	-	0	117,208	5,506
0-51195	II-2	Michael D. Rankin, M.D. Professorship in Family Practice	03/02/99	50,000	103,942	-	50,000	-	(6,151)	(4,897)	-	-	0	92,894	5,032
0-51259	II-2	Mining Engineering Foundation Graduate Fellowship Fund	03/02/99	1,000,000	1,556,261	-	540,350	48,850	(97,017)	(75,824)	-	-	0	1,472,271	87,872
0-51177	II-2	Chelgren Endowed Chair in Corporate Strategy	03/02/99	250,000	701,609	-	208,172	41,823	(45,813)	(33,539)	-	-	18,816	682,901	222
0-51277	II-2	John W. Greene Jr., MD Professorship or Chair in Obstetrics and Gynecology	03/02/99	250,000	259,374	-	-	-	(15,350)	(12,219)	-	-	-	231,805	-
0-51284	II-2	Bayar-Pilzer Professorship in Pulmonary Medicine	03/02/99	52,500	76,890	-	22,500	10,000	(4,762)	(4,010)	-	-	0	78,098	3,867
0-51275	II-2	Spinal Cord & Head Injury Chair No. 1	03/02/99	500,000	989,108	-	500,000	-	(58,534)	(46,597)	-	-	(0)	883,976	29,540
0-51276	II-2	Spinal Cord Brain Injury Chair no. 4- Clinical Director	05/04/99	500,000	1,007,829	-	500,000	-	(59,642)	(47,480)	-	-	(0)	900,708	-
0-51278	II-2	Spinal Cord & Head Injury Chair No. 3	03/02/99	500,000	518,749	-	-	-	(52,715)	(30,601)	-	-	(0)	935,433	-
0-51334	II-2	Spinal Cord and Brain Injury Chair No. 5	03/02/99	500,000	509,821	-	-	-	(30,171)	(24,018)	-	-	(0)	455,633	-
0-51222	II-3	Pin Oak Stud Endowed Research Fund	04/06/99	500,000	712,490	-	200,000	100,000	(46,527)	(35,566)	-	-	0	728,397	7,525
0-51219	II-3	Albert & Lorraine Clay Visiting Scholars Endowment	04/06/99	250,000	766,746	-	250,000	-	(47,448)	(36,462)	-	-	50,000	722,836	-
0-51248	II-3	Anne Scott Maher Mason Vocal Music Scholarship Fund	04/06/99	50,000	108,115	-	50,000	-	(6,398)	(5,093)	-	-	(0)	96,823	12,895
0-51314	II-3	Brown and Williamson Research Fund	04/06/99	250,000	358,534	-	100,000	50,000	(22,022)	(18,352)	-	-	(0)	368,160	9,208
0-51166	II-3	Dorothy Leathers Graduate Fellowship Fund	04/06/99	25,000	52,554	-	24,999	1	(3,248)	(2,945)	-	-	0	49,552	2,250

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Table 5

GL Account Number	RCIF Request Number	Endowment Name	Date Established	Prior to 07/01/01			Fiscal Year 2001 - 2002 Activity										Outstanding Pledges as of 06/30/02	Expenditures	
				State Funds Received	Gifts Received	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts other Than RCIF	Investment Earning (Loss)	Allocated for Expenditure	Funds Reinvested	Management Fee	Other*	Market Value 06/30/02	2001 - 2002		2001 - 2002	
0-51279	IL-3	Dr. Claire Louise Caulliff Professorship in Family Medicine	04/06/99	75,000	33,906	120,851	-	20,572	-	(6,873)	(6,399)	-	-	0	128,161	20,522	6,947		
0-51188	IL-3	Gerald L. Nichols Fellowship Fund	04/06/99	125,000	123,720	255,446	-	-	-	(15,116)	(12,036)	-	-	0	228,294	1,280	11,529		
0-51300	IL-3	Gordon L. Hyde, M.D. Professorship/Chair in Vascular Surgery	04/06/99	51,000	51,000	835,589	-	-	43,525	(49,659)	(39,415)	-	-	(0)	790,039	-	37,477		
0-50468		Gordon L. Hyde, M.D. Professorship/Chair in Vascular Surgery	04/06/99	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
0-51056		Gordon L. Hyde, M.D. Endowment	04/06/99	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
0-51181	IL-3	Clay Lancaster Endowed Professorship in Historic Preservation	04/06/99	250,500	127,422	387,575	-	51,452	-	(25,852)	(19,035)	-	-	0	394,180	71,567	24,395		
0-51233	IL-3	James F. Hardymon Chair in Engineering - Manufacturing Systems	04/06/99	500,000	499,657	1,023,674	-	-	-	(90,580)	(48,226)	-	-	0	914,868	343	22,520		
0-51234	IL-3	James F. Hardymon Chairs in Engineering - Networking	04/06/99	500,000	495,979	1,019,392	-	4,021	5,979	(50,339)	(48,052)	-	-	0	921,100	-	29,410		
0-51235	IL-3	James F. Hardymon Chairs in Engineering - Fellowship	04/06/99	500,000	499,657	1,023,674	-	-	-	(50,580)	(48,226)	-	-	0	914,868	343	41,959		
0-51123	IL-3	James R. Boyd Professorship in Electrical Engineering	04/06/99	100,000	100,000	219,947	-	-	8,000	(13,466)	(10,475)	-	-	(0)	204,006	-	15,359		
0-51249	IL-3	Sue Fan Gooding Fund	04/06/99	85,000	26,029	114,037	-	-	-	(6,749)	(5,372)	-	-	(0)	101,916	56,971	-		
0-51254	IL-3	John I. And Patricia J. Buster Endowment Fund in the College of Human Environmental Sciences	04/06/99	402,400	270,792	769,250	-	61,481	-	(49,127)	(37,782)	-	-	0	743,821	70,127	-		
0-51256	IL-3	T. Marshall Hahn, Jr. Graduate Fellowship Fund	04/06/99	50,000	50,000	99,348	-	-	-	(5,879)	(4,680)	-	-	(0)	88,798	-	5,000		
0-51257	IL-3	T. Marshall Hahn, Jr. Professorship Fund in the College of Arts & Sciences	04/06/99	200,000	199,000	399,916	-	-	-	(23,667)	(18,840)	-	-	0	357,409	1,000	22,712		
0-51206	IL-3	BS/MBA Graduate Fellowship Endowment Fund	04/06/99	60,000	60,000	373,827	-	-	-	(21,983)	(17,965)	-	-	10,311	344,191	-	33,059		
0-51373	IL-3	US Surgical Endowed Professor	04/06/99	100,000	100,000	197,626	-	-	-	(11,696)	(9,310)	-	-	(0)	176,620	-	-		
0-51185	IL-3	W. T. Young Library	04/06/99	1,000,000	1,000,000	-	-	-	-	58,928	158,905	-	-	1,783,955	-	-	-		
0-51185	IL-3	WT Young Library/STM Account	04/06/99	-	-	(1,000,000)	-	(1,000,000)	-	(140,858)	(121,475)	-	-	280,000	2,405,000	-	83,257		
0-51244	IL-4	Center for Aluminum Technology Endowment Fund	05/04/99	250,000	250,000	2,387,334	-	-	-	-	-	-	-	-	-	-	-		
0-51285	IL-4	Boehringer-Ingelheim Professorship	05/04/99	50,000	30,000	82,196	-	-	-	(4,865)	(3,872)	-	-	(0)	73,459	20,000	3,709		
0-51185	IL-4	W. T. Young Library	05/04/99	50,000	30,000	-	-	10,000	-	-	-	-	-	(10,000)	10,000	-	-		
0-51185	IL-4	WT Young Library/STM Account	05/04/99	-	-	(50,000)	-	(40,000)	-	2,354	6,356	-	-	81,361	(10,000)	-	-		
0-51308	IL-4	Dr. Shin-Chun Wang Professorship in Physiology	05/04/99	50,000	22,891	90,761	-	-	5,000	(4,397)	(4,397)	-	-	(0)	85,756	27,109	1,965		
0-51205	IL-4	James and Cora Sanders Nursery/Landscape Research Endowment	05/04/99	26,195	16,145	43,469	-	5,500	-	(2,801)	(2,243)	-	-	(0)	43,945	4,550	-		
0-51224	IL-4	Don Corum and National Nursery Products/Landscape Research Endowment	05/04/99	25,000	25,000	124,687	-	-	-	(7,379)	(5,874)	-	-	0	111,434	-	-		
0-51232	IL-4	UK Nursery/Landscape Research Endowment	05/04/99	34,200	17,464	54,070	-	1,010	-	(3,248)	(2,572)	-	-	(0)	49,261	15,725	-		
0-51269	IL-4	Ammon Nursery/Landscape Research Endowment	05/04/99	25,000	3,000	23,942	-	10,752	-	(2,395)	(1,602)	-	-	(0)	35,696	11,248	-		
0-51267	IL-4	Gary B. Knapp Endowment in Support of Business Education and the Arts	05/04/99	1,000,000	400,000	1,424,981	-	200,000	-	(97,054)	(71,131)	-	-	0	1,456,795	400,000	18,165		
0-51268	IL-4	Gary B. Knapp Endowed Chair in Arts Administration	05/04/99	500,000	200,000	712,450	-	100,000	-	(48,527)	(35,566)	-	-	0	728,397	200,000	26,395		

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OL Account Number	RTCF Request Number	Endowment Name	Date Established	Prior to 07/01/01			Fiscal Year 2001 - 2002 Activity										Outstanding	
				State Funds Received	Gifts Received	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts other Than RTCF	Investment Earning (Loss)	Allocated for Expenditure	Allocated Funds Reinvested	Management Fee	Other*	Market Value 06/30/02	Pledges as of 06/30/02	Expenditures 2001 - 2002	
0-51332	II-4	Nadine James Alzheimer's Disease Research Fund	05/04/99	150,000	150,000	310,627	-	-	15,342	(19,735)	(15,100)	15,100	-	(0)	306,234	-	15,342	
0-51239	II-4	Library & Information Science Endowed Graduate Fellowship Fund	05/04/99	30,724	30,724	61,997	-	-	15	(3,669)	(2,921)	-	-	0	55,421	-	-	
0-51298	II-4	Janel Galloway Carter Endowed Professorship in Physical Medicine and Rehabilitation	05/04/99	150,000	60,000	214,815	-	30,000	-	(13,571)	(10,755)	-	-	(0)	220,480	60,000	12,887	
0-51173	II-4	John Mink Professorship in Pediatric Dentistry & Health Services Research Gift Agreement	05/04/99	55,000	55,000	298,616	-	-	-	(20,502)	(15,395)	15,395	-	47,392	325,506	-	-	
0-51272	II-4	Kentuckiana Masonry Institute Endowed Professorship	05/04/99	100,000	60,000	163,680	-	20,000	-	(11,102)	(7,871)	-	-	0	164,706	20,000	17,801	
0-51286	II-4	Mary Florence Jones Professorship in Neonatology	05/04/99	100,000	100,000	203,679	-	-	-	(12,054)	(9,595)	-	-	(0)	182,030	-	-	
0-51216	II-4	Merck & Co. Pulmonary Medicine Professorship	05/04/99	50,000	50,000	102,292	-	-	-	(8,257)	(5,379)	-	-	60,000	147,656	-	12,606	
0-51176	II-4	Robert G. Lawson Professorship	05/04/99	-	-	1,128	-	-	-	(1,123)	(22)	22	(4)	(0)	-	-	-	
0-51266	II-4	Robert G. Lawson Professorship	05/04/99	57,000	55,345	157,585	-	1,655	1,984	(9,400)	(7,525)	-	-	-	144,298	-	7,525	
0-51158	II-4	Spinal Cord & Head Injury Chair No. 2	03/02/99	500,000	500,000	1,122,819	-	-	-	(56,447)	(52,897)	-	-	0	1,003,475	-	72,345	
0-51270	II-4	TVA Power Engineering Endowment Fund	05/04/99	1,000,000	1,000,000	2,074,896	-	-	-	(122,795)	(97,754)	-	-	(0)	1,854,446	-	5,822	
0-51265	II-4	Wyatt, Tarrant & Combs Professorship of Law	05/04/99	60,000	60,000	120,033	-	-	-	(7,104)	(5,655)	-	-	-	107,275	-	-	
0-50650	II-4	Wyatt, Tarrant & Combs Professorship of Law	05/04/99	-	-	207,114	-	-	-	(12,168)	(9,720)	-	(1,987)	0	183,240	-	13,709	
0-51264	II-4	Zankner Charitable Foundation Professorship in Judaic Studies	05/04/99	250,000	100,000	357,577	-	130,350	-	(39,087)	(24,308)	-	-	223,350	647,882	19,650	13,754	
0-51198	II-4	Major Endowed Research Fund in Veterinary Science	03/02/99	274,781	-	-	-	-	-	-	-	-	-	-	-	274,781	-	
0-51244	II-5	Center for Aluminum Technology Endowment Fund	05/04/99	1,450,000	335,000	-	-	280,000	-	-	-	-	-	(280,000)	-	835,000	-	
0-51346	II-5	Thomas P. Lewis Professorship	06/08/99	100,000	100,000	202,557	-	-	-	(11,987)	(9,543)	-	-	(0)	181,027	-	11,128	
0-51377	II-5	Buckhorn Professor of Social Work	06/08/99	160,000	-	146,228	-	25,000	-	(9,755)	(7,197)	-	-	0	154,277	125,000	2,944	
0-51295	II-5	Cardinal Hill Rehabilitation Hospital Chair in Neurorehabilitation	06/08/99	500,000	500,000	1,577,100	-	-	-	(93,331)	(74,296)	-	-	(0)	1,409,471	-	-	
0-51296	II-5	Cardinal Hill Rehabilitation Hospital Professorship in Traumatic Brain Injury Research	06/08/99	250,000	250,000	810,120	-	-	-	(47,942)	(38,165)	-	-	(0)	724,013	-	-	
0-51297	II-5	Cardinal Hill Rehabilitation Hospital Professorship in Spinal Cord Injury Rehabilitation	06/08/99	250,000	250,000	810,120	-	-	-	(47,942)	(38,165)	-	-	(0)	724,013	-	-	
0-51189	II-5	Sanders-Brown Center on Aging Research Development Fund	06/08/99	1,000,000	158,871	1,198,623	-	118,494	-	(75,934)	(60,524)	-	-	-	1,180,659	722,635	45,082	
0-51305	II-5	Arthur Lieber, M.D., Professorship in Diagnostic Radiology	06/08/99	108,100	108,100	334,552	50,000	50,000	-	(24,741)	(27,037)	478	-	25,317	408,569	-	-	
0-51335	II-5	Center on Aging Fellowship in Gerontology	06/08/99	75,000	-	76,473	-	-	-	(4,525)	(3,603)	-	-	(0)	68,344	75,000	2,586	
0-51371	II-5	Nuclear Medicine Research Professorship	06/08/99	50,000	50,000	122,103	(50,000)	(50,000)	-	(2,783)	5,996	-	-	(25,317)	-	-	-	
0-51325	II-5	Wendell H. Ford Endowed Professorship	06/08/99	300,000	300,000	669,673	-	-	-	(51,670)	(47,992)	47,992	-	64,892	972,795	0	-	
0-51299	II-5	Good Samaritan Professorship or Chair in Health Education, Research, Health Promotion and Preventive Services	06/08/99	500,000	400,000	908,179	-	100,000	-	(58,516)	(42,785)	-	-	(0)	906,879	-	18,650	

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				State Funds Received	Gifts Received	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts other Than RCTF	Investment Earnings (Loss)	Allocated for Expenditure	Allocated Funds Reinvested	Management Fee	Other*	Market Value 06/30/02	Expenditures 2001 - 2002
C-51372	IL-5	Good Samaritan Professorship or Chair in Community Health Nursing	06/08/99	500,000	400,000	895,651	-	100,000	-	(57,774)	(42,195)	-	-	0	895,682	-
C-51351	IL-5	Harper Industries, Inc Graduate Fellowship Endowment Fund	06/08/99	200,000	-	206,487	-	-	-	(12,338)	(9,822)	-	-	0	186,326	-
C-51321	IL-5	Wallace Charles Hill Professorship in Rehabilitation Counseling in the Department of Special Education and Rehabilitation Counseling	06/08/99	50,000	50,000	100,984	-	-	-	(5,903)	(5,409)	-	-	(0)	88,681	4,844
C-51322	IL-5	Wallace Charles Hill Professorship in Rehabilitation Counseling in the Department of Special Education and Rehabilitation Counseling	06/08/99	50,000	50,000	101,679	-	-	-	(5,951)	(5,284)	-	-	(0)	89,444	4,159
C-51333	IL-5	John B. and Jana B. Stephenson Endowed Graduate Fellowship	06/08/99	25,000	15,321	40,691	-	9,679	44	(2,944)	(2,133)	-	-	0	45,337	-
C-51320	IL-5	J. J. B. Hillard, W. L. Lyons, Inc Chair for Innovation Management	06/08/99	500,000	200,000	703,563	-	100,000	-	(47,599)	(35,145)	-	-	(0)	720,419	40,014
C-51185	IL-5	W. T. Young Library	09/15/98	50,000	30,000	-	-	10,000	-	2,357	6,356	(72)	-	(10,000)	-	-
C-51185	IL-5	W.T. Young Library STM Account	09/15/98	-	-	165,158	(50,000)	(40,000)	-	(11,190)	(7,941)	-	-	81,358	(10,000)	-
C-51274	IL-5	Ronald L. Akers Professorship in Criminology & Deviance Fund	06/08/99	100,000	60,000	-	-	20,000	-	-	-	-	-	0	166,028	-
C-51318	IL-5	Chelgren Lecturer Series	06/08/99	100,000	100,000	202,588	-	-	-	(11,976)	(9,534)	-	-	(0)	180,859	1,662
C-51283	IL-5	Dr. Thomas L. Skinner Professorship in Physiology	06/08/99	50,000	16,786	67,595	-	21,250	-	(5,324)	(4,001)	-	-	0	79,521	-
C-51287	IL-6	Robert Straus Professorship in Behavioral Science	06/08/99	50,000	20,000	75,683	-	10,000	-	(4,524)	(3,979)	3,979	-	-	81,158	-
C-51347	IL-5	University of Kentucky Margaret Ingels Society of Women Engineers Graduate Fellowship Fund	06/08/99	25,000	20,000	45,792	-	-	-	(2,710)	(2,157)	-	-	(0)	40,924	3,100
C-51317	IL-5	Frank J. Dorcyshire Research Professorship Endowment	06/08/99	100,000	100,000	225,179	-	-	584	(13,356)	(10,516)	-	-	(0)	201,791	-
C-51204	IL-5	Center on Membrane Sciences Graduate Student Mentoring Endowment	06/08/99	25,000	25,000	55,294	-	-	-	(3,273)	(2,505)	-	-	0	48,417	-
C-51217	IL-1	Knight Chair Endowment Supplement	03/02/99	-	-	-	466,000	-	-	-	-	-	-	(466,000)	-	-
C-50511	IL-1	Coopers & Lybrand Professorship (moved to 0-51340 10/03/00)	09/21/99	-	-	-	-	-	-	-	-	-	-	-	-	-
C-50511	IL-1	Coopers & Lybrand Professorship (moved to 0-51340 10/03/00)	09/21/99	-	-	-	-	-	-	-	-	-	-	-	-	-
C-51340	IL-1	Pricewaterhouse Coopers LLP Professorship in Accounting Endowment Fund	09/21/99	150,000	23,000	508,321	-	2,450	-	(30,245)	(23,982)	-	-	0	456,543	30,574
C-51351	IL-1	George & Betty Blanda Professorship in Education	01/25/00	100,000	100,000	194,863	-	-	-	(11,503)	(9,838)	-	-	(0)	173,523	250
C-51185	IL-1	W. T. Young Library	03/04/00	5,000,000	5,000,000	-	(500,000)	(500,000)	-	-	-	-	-	1,000,000	-	-
C-51185	IL-1	Lucille Caudill Little Fine Arts Library & Learning Center Endowment Fund	03/04/00	-	-	-	-	-	-	-	-	-	-	-	-	-
C-51542	IL-9	Lucille Caudill Little Fine Arts Library & Learning Center Endowment Fund	06/19/01	-	-	-	500,000	500,000	-	-	-	-	-	(1,000,000)	-	-
C-51185	IL-1	W.T. Young Library STM Account	03/04/00	-	-	-	(3,875,000)	(3,875,000)	-	228,345	615,756	(5,928)	-	6,912,827	-	-
C-51497	IL-1	Paul Mellon Post Doctoral Fellowship	04/04/00	2,034,001	-	2,284,105	(1)	-	-	(140,693)	(110,123)	110,123	-	1	2,153,413	2,034,000

\*Adjustment for gifts received but not matched.

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GL Account Number	RCF Request Number	Endowment Name	Date Established	Prior to 07/01/01		Fiscal Year 2001 - 2002 Activity										Outstanding Pledges as of 06/30/02	Expenditures 2001 - 2002
				State Funds Received	Gifts Received	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts other Than RCTF	Investment Earning	Allocated for Expenditure	Funds Reinvested	Management Fee	Other*	Market Value 06/30/02		
0-51099	III-1	Jes Schialijer Chair in Equine Infectious Diseases	03/02/99	466,000	1,184,075	3,082,685	(466,000)	(1,184,074)	(434,020)	(979,091)	(147,983)	147,983	(13,021)	(0)	6,479	(1,000,000)	36,257
0-51594	III-1	Paul Mellon Equine Research Endowment	04/04/00	-	-	-	-	1,000,000	29,175	(18,119)	(71,960)	-	-	0	939,096	(1,000,000)	36,257
0-53115	III-1	Paul Mellon Equine Research Endowment	04/04/00	-	1,000,000	1,050,782	-	(1,000,000)	(29,175)	(44,065)	22,457	-	-	0	-	(1,750,000)	65,546
0-51595	III-1	Paul Mellon Graduate Fellowship Endowment	04/04/00	-	-	-	-	1,750,000	-	212,186	(228,790)	-	(13,260)	0	1,720,135	(1,750,000)	65,546
0-53065	III-1	Paul Mellon Graduate Fellowship Endowment	04/04/00	-	1,750,000	1,924,711	-	(1,750,000)	-	(326,087)	138,115	-	13,260	0	-	-	-
0-51406	III-1	Endowment for Support of Urologic Research	05/02/00	150,000	139,780	345,202	-	10,210	-	(20,760)	(16,852)	-	-	9,864	327,665	-	-
0-51408	III-1	Professorship or Chair of Urologic Surgical Research	05/02/00	1,000,000	750,000	1,667,918	-	250,000	-	(114,196)	(89,376)	-	-	0	1,714,345	-	21,549
0-51417	III-1	James F. Hardigan Chair in Urologic Research	05/02/00	1,000,000	510,964	1,430,223	-	489,036	13,146	(92,717)	(82,054)	-	-	(0)	1,757,634	-	46,372
0-51177	III-1	Chelgren Endowed Chair in Corporate Strategy	03/02/99	250,000	-	-	-	18,816	-	-	-	-	-	(18,816)	-	231,184	-
0-51416	III-1	Wilbur E. Chelgren Professorship in Accounting	06/06/00	125,000	50,000	167,753	-	75,000	-	(15,152)	(8,545)	-	-	(0)	218,955	0	-
0-51441	III-1	Dr. Judith Ann Powell Pachman Professorship or Chair in Obstetrics & Gynecology	06/06/00	50,000	34,832	82,278	-	-	-	(5,046)	(3,949)	3,949	-	(0)	77,232	15,168	-
0-51397	III-1	Douglas J. Von Allmen School of Accountancy	06/06/00	2,000,000	-	1,857,271	-	-	-	(109,911)	(87,497)	-	-	(0)	1,659,863	2,000,000	20,311
0-51461	III-1	Vonallmen School of Accountancy Fellowship	06/06/00	1,000,000	-	949,349	-	-	-	(56,181)	(44,724)	-	-	(0)	848,443	1,000,000	46,655
0-51462	III-1	Vonallmen School of Accountancy Research Support	06/06/00	2,000,000	350,000	2,230,969	-	-	-	(132,026)	(105,102)	-	-	(0)	1,983,841	1,850,000	81,428
0-51398	III-1	Douglas J. Von Allmen Center for Entrepreneurship & E-Commerce	06/06/00	2,000,000	350,000	2,215,325	-	-	-	(131,100)	(104,365)	-	-	(0)	1,979,860	1,650,000	30,204
0-51224	III-1	Don Courm and National Nursery Products/Landscape Research Endowment	05/04/99	25,000	25,000	-	-	-	-	-	-	-	-	-	-	-	-
0-51447	III-2	Abercrombie Foundation Research Fund - Music	08/15/00	400,000	-	376,060	-	100,000	-	(28,451)	(22,037)	-	-	0	425,572	300,000	21,589
0-51499	III-2	Abercrombie Foundation Research - Art	08/15/00	400,000	-	375,381	-	100,000	-	(28,212)	(21,922)	-	(4,479)	0	420,768	300,000	5,435
0-51419	III-2	Center for Sports Marketing Endowment Fund	08/15/00	375,000	75,000	423,641	-	-	-	(25,071)	(19,956)	-	-	(0)	378,612	300,000	20,735
0-51185	III-2	Ashland, Inc. Library Endowment	08/15/99	1,000,000	250,000	-	-	-	-	-	-	-	-	-	-	750,000	-
0-51185	III-2	Ashland, Inc. Library Endowment	08/15/99	(1,000,000)	(250,000)	-	-	-	-	-	-	-	-	-	-	(750,000)	-
0-51527	III-2	Ashland, Inc. Library Endowment	08/15/99	1,000,000	250,000	1,188,508	-	250,000	-	(88,623)	(58,465)	-	-	0	1,301,419	500,000	51,737
0-51409	III-2	Dr. and Mrs. C. Milton Coughenour Society Professorship in Agriculture and Natural Resources	08/15/00	100,000	24,163	117,471	-	25,063	-	(8,124)	(6,144)	-	-	0	128,265	50,774	1,139
0-51476	III-2	Neurosurgery Fellowship (DePuy)	08/15/00	500,000	100,000	563,452	-	-	-	(33,640)	(26,780)	-	-	(0)	508,032	400,000	-
0-51477	III-2	Orthopedic Fellowship (DePuy)	08/15/00	500,000	100,000	568,452	-	-	-	(33,640)	(26,780)	-	-	(0)	508,032	400,000	-
0-51478	III-2	Orthopedic Neuro-Trauma Fellowship (DePuy)	08/15/00	500,000	-	473,710	-	-	-	(28,034)	(22,317)	-	-	0	423,360	500,000	-
0-51479	III-2	Academic Support of Neuro and Spine Surgery (DePuy)	08/15/00	500,000	100,000	568,452	-	100,000	-	(39,115)	(27,188)	-	-	(0)	602,149	300,000	-
0-51444	III-2	Tracy Farmer Center for the Environment Endowment Fund	08/15/00	2,000,000	1,152,615	2,992,725	-	2,000	-	(177,247)	(141,005)	-	-	(0)	2,676,473	845,385	105,141

\*Adjustment for gifts received but not matched.

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Endowment Match Program  
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GL Account Number	RCF Request Number	Endowment Name	Date Established	Prior to 07/01/01				Fiscal Year 2001 - 2002 Activity										Market Value 09/30/02	Outstanding Pledges as of 09/30/02	Expenditures 2001 - 2002
				State Funds Received	Gifts Received	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts other Than RCF	Investment Earning (Loss)	Allocated for Expenditure	Allocated Funds Reinvested	Management Fee	Other*						
0-51405	III-2	Harry E. Wheeler Chair in Plant Mycology	08/15/00	500,000	500,000	960,316	-	-	-	(56,830)	(45,241)	-	-	0	858,245	-	-	-		
0-51412	III-2	Helen Thacker Graduate Fellowship in Educational and Counseling Psychology	08/15/00	100,000	100,000	190,653	-	-	-	(11,283)	(8,982)	-	-	(0)	170,388	-	-	-		
0-51410	III-2	Helen Edwards Abell Endowed Research Fund in Historic Preservation	08/15/00	375,000	90,959	441,847	-	118,178	-	(31,966)	(24,972)	-	-	50,100	553,188	-	-	32,534		
0-51442	III-2	Benjamin C. Warf, M.D., Lectureship/Fellowship in Pediatric Neurosurgery	08/15/00	100,000	12,821	107,250	-	-	-	(6,347)	(5,053)	-	-	0	95,850	-	-	87,179		
0-51345	III-2	Arnold & Letitia Taylor Fund for Library Research Equipment	08/15/00	218,800	218,754	427,837	-	-	-	(25,319)	(20,156)	-	-	(0)	392,362	-	46	36,310		
0-51401	III-2	McI Worden Graduate Fellowship Fund	08/15/00	100,000	100,000	190,235	-	-	-	(11,258)	(8,962)	-	-	0	170,015	-	-	-		
0-51407	III-2	Robert L. Johnson Scholarship Fund	08/15/00	200,000	200,000	425,624	-	-	-	(28,877)	(20,509)	1,684	-	75,200	453,122	-	-	10,000		
0-51443	III-2	John W. Greene, Jr., M.D. Professorship in Obstetrics & Gynecology	08/15/00	425,000	25,000	436,133	-	100,000	-	(31,921)	(21,188)	6,915	-	0	489,939	-	300,000	8,225		
0-51413	III-2	Center for Real Estate Studies Endowment	08/15/00	50,000	50,000	92,874	-	-	-	(5,496)	(4,375)	-	-	0	83,002	-	-	-		
0-51244	III-3	Center for Aluminum Technology Endowment Fund	05/04/99	75,000	-	-	-	-	-	-	-	-	-	-	-	-	75,000	-		
0-51185	III-3	W. T. Young Library	09/19/00	5,000,000	1,235,079	-	-	2,257,711	-	-	-	-	-	(2,257,711)	-	1,447,211	-	-		
0-51185	III-3	WT Young Library STM Account	09/19/00	-	-	(5,000,000)	-	(1,074,586)	-	63,535	170,757	(1,921)	-	5,842,116	-	(3,925,414)	-	-		
0-51185	III-3	W. T. Young Library	09/19/00	25,000	25,000	-	-	-	-	-	-	-	-	-	-	-	-	-		
0-51185	III-3	RPW Law Library Endowed Account	09/19/00	(50,000)	(50,000)	-	-	-	-	-	-	-	-	-	-	-	-	-		
0-51521	III-3	RPW Law Library Endowed Account	09/19/00	50,000	50,000	98,126	-	-	-	(5,807)	(4,623)	-	-	(0)	87,686	-	-	26,199		
0-51165	III-3	Professorship in HIV Clinical Research in the Dept of Int. Medicine	09/19/00	51,960	51,960	116,550	-	-	1,500	(7,091)	(5,619)	-	-	0	107,340	-	-	10,363		
0-51469	III-3	Charles S. Cassie Professorship of Law	09/19/00	102,984	102,984	200,214	-	-	-	(11,849)	(9,432)	-	-	0	178,933	-	-	11,882		
0-51424	III-3	Earl F. & Jean M. Lockwood Professorship of International Commerce and Entrepreneurship	09/19/00	200,000	40,000	234,347	-	40,000	-	(15,740)	(12,014)	-	-	(0)	246,593	-	120,000	16,076		
0-51452	III-3	James F. Glenn, M.D. Endowed Professorship/Chair in Urology	09/19/00	500,000	247,138	910,352	-	51,144	-	(57,128)	(43,910)	-	-	0	860,458	-	301,718	43,883		
0-51429	III-3	Gallion & Baker Professorship	09/19/00	100,000	100,000	193,908	-	-	-	(11,475)	(9,135)	-	-	0	173,288	-	-	7,366		
0-51378	III-3	Dorothy A. Miller Research Professorship in Social Work Education	09/19/00	100,000	75,585	170,595	-	100	-	(10,102)	(8,039)	-	-	(0)	152,554	-	24,316	500		
0-51206	III-3	BS/MBA Graduate Fellowship Endowment Fund	04/06/99	98,475	98,475	-	-	-	10,311	-	-	-	-	(10,311)	-	-	-	-		
0-51185	III-3	W. T. Young Library	04/06/99	1,000,000	-	-	-	-	-	-	-	-	-	-	-	1,000,000	-	-		
0-51185	III-3	Rosenthal Business & Economics Library Endowment	04/06/99	(1,500,000)	(500,000)	-	-	-	-	-	-	-	-	-	-	(1,000,000)	-	-		
0-51525	III-3	Rosenthal Business & Economics Library Endowment	04/06/99	1,500,000	500,000	1,931,250	-	200,000	-	(111,570)	(97,841)	-	-	(0)	1,921,839	-	800,000	26,199		
0-51295	III-3	Cardinal Hill Rehabilitation Hospital Chair in Neurorehabilitation	06/08/99	500,000	200,000	-	-	-	-	-	-	-	-	-	-	-	300,000	-		
0-51295	III-3	Cardinal Hill Rehabilitation Hospital Professorship in Traumatic Brain Injury Research	06/08/99	250,000	50,000	-	-	-	-	-	-	-	-	-	-	-	200,000	-		
0-51297	III-3	Cardinal Hill Rehabilitation Hospital Professorship in Spinal Cord Injury Rehabilitation	06/08/99	250,000	50,000	-	-	-	-	-	-	-	-	-	-	-	200,000	-		
0-51371	III-3	Nuclear Medicine Research Professorship	06/08/99	-	-	-	-	-	-	-	-	-	-	-	-	-	200,000	-		

\*Adjustment for gifts received but not matched.

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Table 5

GL Account Number	RCTF Request Number	Endowment Name	Date Established	Prior to 07/01/01		Fiscal Year 2001 - 2002 Activity										Outstanding Pledges as of 06/30/02	Expenditures 2001 - 2002
				State Funds Received	Gifts Received	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts other Than RCTF	Investment Earning (Loss)	Funds Allocated for Expenditure	Allocated Funds Reinvested	Management Fee	Other*	Market Value 06/30/02		
0-51305	III-3	Arthur Lieber, M.D., Professorship in Diagnostic Radiology	06/08/99	118,900	-	-	-	-	-	-	-	-	-	-	-	118,900	-
0-51414	III-4	Commonwealth Professorship in Physician Leadership	10/24/00	250,000	250,000	490,865	-	-	-	(29,049)	(23,125)	-	-	(0)	438,691	-	28,234
0-51453	III-4	C. Michael Garver Endowment Fund - Fellowship Fund	10/24/00	750,000	750,000	1,442,687	-	-	-	(35,376)	(67,966)	-	-	-	1,289,345	-	951
0-51185	III-4	W. T. Young Library	10/24/00	500,000	489,114	-	(372,570)	-	-	-	-	-	-	-	372,570	(371,694)	-
0-51185	III-4	W. T. Young Library	10/24/00	-	-	-	(114,573)	-	-	-	-	-	-	-	114,573	(114,573)	-
0-51214	III-4	US Surgical Corporation-Minimally Invasive Surgery Center Professorship #2	12/08/98	150,000	-	-	-	-	-	-	-	-	-	-	-	150,000	-
0-51185	III-4	W. T. Young Library	10/24/00	2,000,000	-	-	-	-	-	-	-	-	-	-	-	2,000,000	-
0-51185	III-4	W. T. Young Library	10/24/00	-	-	-	(2,000,000)	-	-	-	-	-	-	-	-	(2,000,000)	-
0-51421	III-4	David H. and Barbara Schlund Kaufman Professorship	10/24/00	50,000	25,010	72,764	-	24,990	21	(3,966)	(4,286)	-	-	(0)	89,523	-	-
0-51491	III-4	Development Dimensions International Professorship in Behavioral Sciences	10/24/00	100,000	50,000	145,500	-	-	-	(8,617)	(6,859)	-	-	(0)	130,124	50,000	7,267
0-51386	III-4	Dr. William Jack Carey Professorship in Emergency Medicine	10/24/00	50,000	48,150	95,083	-	1,664	-	(5,906)	(4,719)	-	-	(0)	90,123	186	4,858
0-51152	III-4	John Edwin Parlington & Gwendolyn Gray Parthott Scholarship Fund	10/24/00	258,000	268,000	543,501	-	-	-	(32,164)	(25,605)	-	-	0	485,733	-	-
0-50658	III-4	Ann Huddleston Robinson Graduate Accompanying Award	10/24/00	-	-	1,765	-	-	(1,025)	(923)	146	-	37	0	-	-	-
0-51423	III-4	Endowed Enrichment Fund for Research in Vocal Studies	10/24/00	500,000	47,864	537,095	-	77,902	1,025	(34,602)	(27,352)	-	(41)	0	554,027	374,234	24,168
0-51448	III-4	Lucille Caudill Little Endowment for Theatre	10/24/00	500,000	100,000	594,952	-	100,000	-	(33,251)	(30,982)	-	-	0	620,619	300,000	33,876
0-51449	III-4	Paul A. Thornton Graduate Fellowship in Nutritional Sciences	10/24/00	100,000	100,000	200,162	-	-	425	(11,861)	(9,443)	-	-	0	179,283	-	-
0-51438	III-4	Reese S. Terry Professorship in Electrical Engineering	10/24/00	100,000	10,068	107,848	-	16,447	-	(7,424)	(5,411)	-	-	0	111,460	73,494	-
0-51439	III-4	Whalen Graduate Fellowship Fund	10/24/00	500,000	352,500	822,384	-	-	-	(48,668)	(38,743)	-	(45)	45	734,973	147,500	1,250
0-51473	III-4	Dr. Donald T. Frazier Professorship	10/24/00	50,000	16,667	66,855	-	6,833	-	(4,396)	(3,456)	3,456	-	(0)	69,292	26,500	-
0-51474	III-4	Dr. Fred W. Zachman Professorship	10/24/00	50,000	16,667	66,855	-	6,833	-	(4,396)	(3,456)	3,456	-	(0)	69,292	26,500	-
0-51475	III-4	Dr. Louis L. Boyarsky Professorship	10/24/00	50,000	16,667	66,855	-	6,833	-	(4,396)	(3,456)	3,456	-	(0)	69,292	26,500	-
0-51185	III-4	Toyle (Young) Library Endowment	10/24/00	1,000,000	250,000	-	-	-	-	-	-	-	-	-	-	750,000	1,537
0-51185	III-4	Toyle (Young) Library Endowment	10/24/00	(1,000,000)	(250,000)	-	-	-	-	-	-	-	-	-	-	(750,000)	-
0-51624	III-4	Toyle (Young) Library Endowment	10/24/00	1,000,000	250,000	1,196,765	-	500,000	-	(101,844)	(62,439)	-	-	0	1,532,482	250,000	49,178
0-51185	III-5	W. T. Young Library	09/15/98	1,000,000	200,000	97,477	-	200,000	-	(8,537)	(5,462)	-	-	(200,000)	600,000	1,000	-
0-51460	III-5	Class of 1949 Fellowship Endowment Fund	12/12/00	50,000	50,000	97,477	-	-	43,502	(4,419)	(3,411)	-	-	0	126,980	-	-
0-51384	III-5	James Stephen Brown Graduate Appalachian Studies Fund	12/12/00	50,330	19,050	58,034	-	9,100	-	-	-	-	-	0	59,303	22,180	-
0-51391	III-5	William J. Tuggle & Nina B. Tuggle Professorship in English	12/22/00	300,000	300,000	597,640	-	-	-	(34,776)	(27,684)	-	-	(0)	525,180	-	14,498
0-51185	III-5	W. T. Young Library	09/15/98	1,000,000	200,000	-	-	-	-	-	-	-	-	-	-	800,000	-
0-51185	III-5	W. T. Young Library	12/12/00	50,000	10,000	-	-	10,000	-	-	-	-	-	(10,000)	30,000	-	-
0-50808	III-5	John R. Van Nagel, M.D. Professorship/Chair in Gynecologic Oncology	12/18/00	275,000	56,556	752,900	-	55,000	15,000	(48,001)	(35,531)	-	-	(0)	739,368	163,444	43,707
0-51185	III-5	W. T. Young Library	12/12/00	150,000	30,000	-	-	30,000	-	-	-	-	-	(30,000)	90,000	-	-
0-51458	III-5	Lion Appare, Inc. Fellowship Endowment	12/12/00	50,000	10,000	58,658	-	10,000	-	(4,108)	(2,963)	-	-	0	51,587	30,000	-
0-51185	III-5	W. T. Young Library	12/12/00	-	20,105	-	-	-	-	-	-	-	-	-	(20,105)	-	-

\*Adjustment for gifts received but not matched.

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GL Account Number	RCFE Request Number	Endowment Name	Date Established	Prior to 07/01/01				Fiscal Year 2001 - 2002 Activity										Outstanding Pledges as of 06/30/02	
				State Funds Received	Gifts Received	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts other Than RCFE	Investment Earning (Loss)	Allocated for Expenditure	Allocated Reinvested	Management Fee	Other*	Market Value 06/30/02	Expenditures 2001 - 2002			
0-51185	III-5	Holbrook Law Library Endowment	12/12/00	(30,000)	(70,105)	-	-	-	-	-	-	-	-	-	-	-	-	20,105	-
0-51626	III-5	Holbrook Law Library Endowment	12/12/00	160,000	70,105	215,964	-	-	-	(14,054)	(10,574)	-	-	0	211,348	8,677	-	59,681	-
0-51185	III-5	W. T. Young Library	12/12/00	250,000	250,000	-	-	-	-	(5,701)	(4,480)	-	-	0	95,954	3,277	-	10,785	-
0-51199	III-5	Peter Pearlman Fellowship	12/12/00	50,000	44,548	93,970	-	-	-	(5,805)	(4,621)	-	-	0	87,657	3,000	-	150,000	-
0-51470	III-5	PJC Board of Trustees Engineering Professorship	12/12/00	50,000	50,000	98,083	-	-	-	-	-	-	-	-	-	-	-	182,409	-
0-51185	III-5	W. T. Young Library	12/12/00	100,000	25,000	-	-	-	-	-	-	-	-	(25,000)	-	-	-	50,000	-
0-51185	III-5	W. T. Young Library	12/12/00	25,000	12,191	-	-	-	-	-	-	-	-	(2,014)	-	-	-	10,785	-
0-51185	III-5	W. T. Young Library	12/12/00	250,000	100,000	-	-	-	-	-	-	-	-	-	-	-	-	150,000	-
0-51325	III-5	Wendell H. Ford Endowed Professorship	06/08/99	290,410	43,109	-	-	-	-	-	-	-	-	(64,892)	-	-	-	182,409	-
0-51457	III-5	Dr. William A. & Anne T. Withington Graduate Student Support Fund in Geology	12/12/00	68,510	53,136	118,952	-	-	-	(7,531)	(5,834)	5,834	-	(0)	116,531	-	-	10,285	-
0-51371	III-5	Nuclear Medicine Research Professorship	06/08/99	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
0-51305	III-5	Arthur Lieber, M.D., Professorship in Diagnostic Radiology	06/08/99	33,000	-	-	-	-	-	-	-	-	-	-	-	-	-	33,000	-
0-51151	III-5	Marine-Tracker Scholarships	10/20/98	100,397	100,397	206,231	-	-	-	(12,205)	(9,716)	-	-	0	184,310	9,239	-	358,537	-
0-51497	III-5	Paul Mellon Post-Doctoral Fellowship	04/04/00	358,537	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
0-51106	III-5	R. C. Durr Civil Engineering Fellowship Fund	01/20/98	300,000	300,000	634,727	-	-	-	(37,562)	(29,902)	-	-	(0)	567,262	-	-	-	-
0-50464	III-5	Arthur Anderson Endowed Professorship	01/23/01	-	-	242,040	-	-	(148,331)	(117,854)	20,163	-	4,983	-	-	-	-	-	-
0-51482	III-5	Arthur Anderson Endowed Professorship	01/23/01	100,000	26,283	124,953	-	-	160,510	(5,410)	(5,173)	-	-	(0)	103,784	-	-	66,717	-
0-51450	III-5	UK College of Human Environmental Sciences Alumni Association Endowed Professorship	01/23/01	50,000	11,562	61,075	-	-	9,854	(3,831)	(3,215)	3,215	-	0	66,717	13,619	-	28,865	-
0-51558	III-5	Edith D. Gardner Professorship in Internal Medicine	01/23/01	-	-	-	100,000	-	-	(15,549)	(16,107)	-	(156)	100,000	268,188	-	-	-	-
0-53131	III-6	Edith D. Gardner Professorship in Internal Medicine	01/23/01	100,000	100,000	192,560	(100,000)	-	-	5,556	1,727	-	156	0	-	-	-	-	-
0-51481	III-6	Robert P. Moore & Mildred A. Moore Research Fund	01/23/01	350,000	350,000	729,286	-	-	(11,519)	(42,446)	(33,859)	-	-	0	541,473	-	-	-	-
0-51403	III-6	J. C. Fern Graduate Fellowship Fund	01/23/01	50,000	50,000	104,215	-	-	5,979	(6,410)	(5,173)	5,173	-	(0)	103,784	-	-	59,926	-
0-51406	III-6	Endowment for Support of Urologic Research	01/23/01	69,790	-	-	-	-	-	-	-	-	-	(9,864)	-	-	-	-	-
0-51173	III-6	John Mink Professorship in Pediatric Dentistry & Health Services Research Gift Agreement	01/23/01	150,560	30,182	-	-	-	-	-	-	-	-	(47,392)	-	-	-	72,986	-
0-51480	III-6	James and Mary Lassiter Endowed Chair	01/23/01	500,000	500,000	986,639	-	-	-	(58,388)	(45,481)	-	-	0	881,769	-	-	-	-
0-51484	III-6	Harold R. Burton Endowed Professorship in Plant Biochemistry	01/23/01	396,875	-	391,600	-	-	229,626	(32,770)	(23,876)	-	-	350,249	914,729	10,753	-	187,249	-
0-51219	III-7	Albert & Lorraine Clay Visiting Scholars Endowment	03/06/01	250,000	-	-	-	-	50,000	-	-	-	-	(50,000)	-	-	-	200,000	-
0-51463	III-7	AIA Kentucky Endowed Professorship	03/06/01	100,000	13,472	111,269	-	-	16,729	(7,541)	(5,472)	-	(11)	11	114,988	-	-	69,799	-
0-51485	III-7	Charlotte R. Schmidlapp Fellowship in Gerontology	03/06/01	150,000	75,000	218,010	-	-	75,000	(11,882)	(12,843)	-	-	0	268,286	-	-	-	-
0-51486	III-7	Dr. Richard R. & Dorothy Hillenmeyer Crutcher Scholarship Fund	03/06/01	200,000	40,000	235,388	-	-	60,000	(17,746)	(12,289)	-	-	0	265,351	-	-	100,000	-
0-51519	III-7	John H. Heck Professorship in Soil Science	03/06/01	100,000	-	574	-	-	99,417	(12,391)	(8,642)	-	-	0	178,958	-	-	-	-
0-51493	III-7	Jack & Linda Gill Science & Engineering Research Excellence Fund	03/06/01	500,000	-	492,111	-	-	5,238	(36,019)	(23,276)	3,894	-	0	441,948	-	-	494,763	-
0-51494	III-7	Jack & Linda Gill Science & Engineering Research Excellence Fund	03/06/01	500,000	-	492,111	-	-	5,238	(35,419)	(23,623)	23,623	-	0	461,930	-	-	494,763	-

\*Adjustment for gifts received but not matched.

10/29/2002



University of Kentucky  
Endowment Match Program  
Statement of Changes in Endowments  
Fiscal Year 2001-02

GL Account Number	RCIF Request Number	Endowment Name	Date Established	Prior to 07/01/01				Fiscal Year 2001 - 2002 Activity						Market Value 06/30/02	Outstanding Pledges as of 06/30/02	Expenditures 2001 - 2002
				State Funds Received	Gifts Received	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts other Than RCIF	Investment Earning (Loss)	Allocated for Expenditure	Reinvested	Management Fee	Other*		
0-51185	III-7	W. T. Young Library	03/06/01	(25,000)	(25,000)	108,002	-	-	-	(277)	-	-	-	277	-	-
0-51467	III-7	American Music Library Endowed Fund	03/06/01	75,000	35,000	108,002	-	10,000	100	(6,432)	(5,729)	-	-	-	30,000	2,288
0-51185	III-7	W. T. Young Library	12/12/00	200,000	-	98,422	-	50,000	-	(5,761)	(7,007)	-	-	(50,000)	150,000	-
0-51510	III-7	Jana Oliver Memorial Scholarship Fund	03/06/01	100,000	-	96,422	-	-	-	(5,761)	(7,007)	2,346	-	-	100,000	-
0-51451	III-7	Ronald Allen Forester & Nancy Smoot Forester Endowed Professorship Fund	03/06/01	50,000	49,551	96,374	-	349	-	(5,755)	(4,579)	-	-	(0)	86,398	-
0-51483	III-7	Dermott F. & Regina M. Dawson Graduate Fellowship in Education	03/06/01	50,000	50,000	96,761	-	-	-	(5,704)	(5,067)	-	-	(0)	85,990	-
0-51504	III-8	Bruce A. Lucas, M.D. Endowment for Urologic Research	04/03/01	70,000	17,000	86,095	-	5,000	-	(5,519)	(4,133)	4,133	-	0	86,576	48,000
0-51175	III-8	Drs. Barbara and James Holsinger Professorship in Anatomy	04/03/01	65,000	15,000	-	-	10,000	-	-	-	-	-	(10,000)	40,000	-
0-51502	III-8	CSX Transportation Graduate Fellowship Fund	04/03/01	50,000	50,000	102,078	-	-	-	(6,041)	(4,809)	-	-	(0)	-	-
0-51469	III-8	Medical Alumni Association Scholarship Endowment Fund	04/03/01	51,712	43,225	93,643	-	8,487	62,621	(4,343)	(9,281)	9,281	-	0	160,608	-
0-51517	III-8	Vaughn & Melton Graduate Fellowship in Civil Engineering	04/03/01	100,000	-	98,422	-	35,570	-	(7,267)	(6,853)	1,188	-	0	121,459	64,030
0-51537	III-8	Cardiology Associates of Kentucky Endowed Scholarship	05/01/01	50,000	-	49,211	-	-	-	(3,018)	(2,362)	2,362	-	0	46,193	50,000
0-51388	III-8	Cynthia Shaw Chispen Lectureship	05/01/01	500,000	393,740	867,070	-	50,144	-	(54,549)	(42,822)	30,822	-	0	850,665	432
0-51496	III-8	Caroline Frances Ransdell Research Professorship	05/01/01	51,179	51,179	99,216	-	-	-	(5,872)	(4,674)	-	-	0	88,670	-
0-51535	III-8	Madeline F. James Professorship/Chair in Cancer Research	05/01/01	171,336	171,336	341,963	-	-	-	(20,015)	(22,981)	6,785	-	(0)	305,751	16,800
0-51541	III-8	Log-A-Log Load for Kids of Kentucky Fund	05/01/01	125,000	-	123,028	-	26,000	-	(8,955)	(5,316)	6,316	-	(0)	140,072	89,000
0-51531	III-8	Sylvia Mansbach Endowed Professorship and Chair in Alzheimer's Disease Research	05/01/01	500,000	100,000	590,533	-	131,464	-	(69,626)	(28,464)	28,464	-	(0)	652,371	266,536
0-51512	III-8	Kathryn Louise Chelgren Endowed Professorship for Research in Family Studies	05/01/02	60,000	22,000	81,271	-	38,000	-	(7,646)	(4,492)	4,492	-	0	111,625	-
0-51507	III-8	Research Fund for the Prevention of Alcohol & Drug Abuse	05/01/01	50,000	10,000	59,532	-	-	-	(3,523)	(2,805)	-	-	(0)	53,204	40,000
0-51538	III-8	Zimmer Endowment for Orthopaedic Research	05/01/01	300,000	-	295,266	-	93,750	-	(23,073)	(15,394)	15,394	-	0	365,943	205,250
0-51215	III-8	Floyd H. Wright Research Endowment	05/01/01	300,000	-	-	-	100,000	-	-	-	-	-	(100,000)	400,000	-
0-51185	III-8	W. T. Young Library	05/01/01	-	394,643	-	-	-	-	-	-	-	-	-	(394,643)	-
0-51185	III-8	Kentucky Economic Development Endowment for Science & Technology	05/01/01	-	(394,643)	-	-	-	-	-	-	-	-	-	394,643	-
0-51532	III-8	Kentucky Economic Development Endowment for Science & Technology	05/01/01	375,000	394,643	758,773	-	-	-	(34,821)	(37,967)	-	-	19,657	(19,643)	-
0-51185	III-9	W. T. Young Library - Osley Foundation	06/19/01	-	-	-	500,000	100,000	-	-	-	-	-	(600,000)	400,000	-
0-51484	III-9	Harold R. Burton Endowed Professorship in Plant Biochemistry	01/23/01	-	-	-	221,875	128,374	-	-	-	-	-	(350,249)	93,501	-
0-56012	III-10	UK Medical Alumni Association Term Endowment Fund	12/11/01	-	250,000	502,931	250,000	-	17,190	(43,652)	(27,940)	-	(5,711)	(0)	692,616	41,026
0-51410	III-10	Heleen Edwards Abell Endowed Research Fund in Historic Preservation	08/15/00	-	-	-	50,100	-	-	-	-	-	-	(50,100)	50,100	-
0-51513	III-10	Pharmaceutical Technology Lectureship, Professorship or Chair	08/14/01	-	47,650	46,899	60,000	11,279	-	(6,667)	(3,506)	3,506	(716)	(0)	110,794	1,071

\*Adjustment for gifts received but not matched.

10/29/2002

University of Kentucky  
Endowment Match Program  
Statement of Changes in Endowments  
Fiscal Year 2001-02

GL Account Number	RCIF Request Number	Endowment Name	Date Established	Prior to 07/01/01				Fiscal Year 2001 - 2002 Activity										Outstanding	
				State Funds Received	Gifts Received	Market Value 07/01/01	State Funds Received	Gifts Received	Gifts other Than RCTF	Investment Earning (Loss)	Allocated for Expenditure	Reinvested	Management Fee	Other*	Market Value 06/30/02	Plagues as of 06/30/02	Expenditures 2001 - 2002		
0-51284	III-10	Zanker Charitable Foundation Professorship in Judaic Studies	08/14/01	-	-	-	150,000	-	-	-	-	-	-	(150,000)	-	150,000	-	-	-
0-50700	III-10	Thomas C. and Evelyn W. Finnie Endowment Fund	04/06/66	-	-	19,840	51,657	-	-	(3,910)	(1,769)	-	(361)	(0)	55,457	51,657	-	-	-
0-51532	III-10	Kentucky Economic Development Endowment for Science & Technology	05/01/01	-	-	-	19,643	-	-	-	-	-	-	(19,643)	-	19,643	-	-	-
0-51215	III-11	Meyck & Co. Pulmonary Medicine Professorship	09/18/01	-	-	-	50,000	10,000	-	-	-	-	-	(60,000)	-	40,000	-	-	-
0-51264	III-11	Zanker Charitable Foundation Professorship in Judaic Studies	05/04/99	-	-	-	73,350	-	-	-	-	-	-	(73,350)	-	73,350	-	-	-
0-51407	III-13	Robert L. Johnson Scholarship Fund	09/15/00	-	29,136	-	50,000	20,564	4,636	-	-	-	-	(75,200)	-	-	-	-	-
0-51668	III-13	Edith D. Gardner Professorship in Internal Medicine	01/23/01	-	-	-	50,000	50,000	-	-	-	-	-	(100,000)	-	-	-	-	-
0-51615	III-13	Lucille Caudill Little Endowed Research Fund in Opera Studies	01/22/02	-	-	-	200,000	5,625	-	(9,819)	-	-	-	(0)	195,006	194,175	-	-	-
TOTAL EXISTING ENDOWMENTS				\$119,638,755	\$71,365,897	\$203,487,395	\$1,725,624	\$12,127,975	\$702,261	(\$12,473,771)	(\$9,851,522)	\$545,360	(\$61,102)	(\$1,000,000)	\$195,203,221	\$37,871,510	\$8,505,867		
GRAND TOTAL				\$119,638,755	\$71,759,426	\$203,855,807	\$9,588,453	\$15,179,026	\$715,585	(\$13,068,962)	(\$10,106,021)	\$584,652	(\$68,881)	\$0	\$205,710,259	\$41,275,758	\$6,582,538		

\*Adjustment for gifts received but not matched.

10/29/2002

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# **University of Louisville**

## University of Louisville

### Endowment Match Program 2001-2002 Annual Report - Summary

The following annual report covers programmatic and financial activities supported at the University of Louisville by the Council on Postsecondary Education's Endowment Match Program (a.k.a. "Bucks for Brains"). For the 2000-02 biennium, the CPE allocated a second round of \$33,333,000 to UofL to be matched dollar-for-dollar by the private sector. Having early success in Round I and several major donors waiting in the wings, most of UofL's requests for matching funds were made and funded in the first year of the biennium, not covered explicitly in this report. Fiscal Year 2001 was truly a very successful year from a fund-raising perspective. UofL viewed fiscal year 2001-02, the second year of the biennium, as a "follow-through year" to complete its internal funding plan for the current Endowment Match Program.

During fiscal year 2001-02, UofL submitted twelve separate requests totaling \$11,491,476 for state matching funds from Round II of the Endowment Match Program. A total of nine requests were approved and processed by the CPE during that period. Subsequently, the CPE disbursed \$6,512,368 to UofL. The public and private funds received in this fiscal year were used to create seven new endowments and expand two existing endowments. When coupled with the recently-raised private funds, these endowments had a combined market value of \$11,036,949 (Table 4) as of June 30, 2002.

During fiscal year 2001-2002 private donations and state matching funds went to support the creation of eleven (11) new endowed chairs, six (6) new endowed fellowship programs, and two (2) new research mission support funds. As of June 30, 2002 several outstanding candidates were actively being recruited to fill these eleven endowed chair positions. Moreover, since the inception of the Endowment Match Program in 1998 a total of forty-nine (49) new endowed chairs, one (1) professorship, ten (10) new graduate fellowship programs, and six (6) new mission support projects were funded.

#### Endowment Activity

The following tables show the effect of the activity for the fiscal year ending June 30, 2002 and the cumulative effect of the Endowment Match Program since its inception in July 1998.

**Table 1: Annual and Cumulative Endowment Match Program Activities**

Activity	2001-2002		1998-2002	
	Number Of positions Or Projects	Number Occupied or Projects with Expenditures	Number of Positions or Projects	Number Occupied or Projects with Expenditures
Chairs	11	0	49	22
Professorships	0	0	1	1
Fellowships	6	1	10	3
Scholarships	0	0	0	0
Mission Support Projects	2	0	6	2
<b>Totals</b>	<b>19</b>	<b>1</b>	<b>66</b>	<b>28</b>

As depicted in the table above, eleven (11) new endowed chair positions were established through the Endowment Match Program in FY 2002. Similarly, the new funds afforded six (6) fellowship programs and two (2) mission support programs. Cumulatively, since its 1998 inception through June 30, 2002, a total of forty-nine (49) endowed chairs, one (1) professorship, ten (10) graduate fellowship programs, and six (6) mission support projects, have been endowed through the Endowment Match Program at the University of Louisville. As of this reporting period, twenty-two (22) endowed chair positions and one (1) professorship are occupied. In addition, three (3) graduate fellowship programs and two (2) mission support projects reported expenditures.

New donor gifts and State matching funds are currently invested and participate in the University of Louisville Foundation's pooled endowment investment and spending policies. The Foundation's policy requires all endowment funds to be invested a full calendar year prior to the income being disbursed to the respective academic unit for expenditure.

### **Demographic Information**

Since 1998 through June 30, 2002, a total of twenty-five (25) faculty have been hired under the auspices of the Endowment Match Program. Twenty-two (22) of those hired, or 88%, were male and three (3), or 12%, were female. Two of the faculty positions are not endowed chairs or professors, but are supporting research faculty funded from endowment funds. Of the staff positions hired with the Endowment Match funds four (4) are male and seven (7) are female.

**Table 2: Gender of Endowment Match Program  
Faculty and Staff**

**As of June 30, 2002**

	<u>Male</u>	<u>Female</u>	<u>Total</u>
Chairs	20	2	22
Professorships	1	0	1
Support Research Faculty	1	1	2
Staff	4	7	11
<b>Total</b>	<b>26</b>	<b>10</b>	<b>36</b>

The majority of chairs and partnerships hired through the Endowment Match Program are White, non-Hispanics. As can be seen in Table 3, twenty-eight (28), or 74%, of the Endowment Match Program employees hired since 1998 are White, seven (7) are Asian, and one (1) is Hispanic.

**Table 3: Race of Endowment Match Program  
Faculty and Staff  
As of June 30, 2002**

	<u>White</u>	<u>Black</u>	<u>Indian</u>	<u>Asian</u>	<u>Hispanic</u>	<u>Total</u>
Chairs	20	0	0	1	1	22
Professorships	1	0	0	0	0	1
Support Research Faculty	2	0	0	0	0	2
Staff	5	0	0	6	0	11
<b>Total</b>	<b>28</b>	<b>0</b>	<b>0</b>	<b>7</b>	<b>1</b>	<b>36</b>

### **Sources of Funds, Earnings, and Expenditures**

During fiscal year 2001-02, UofL booked \$6,678,035 in new gifts in the form of cash and pledge payments from private sources (Table 4) that were matched with state funds from Round II of the Endowment Match Program. This represents 20% of UofL's allotted \$33.3 million. Most of that amount, \$4,129,017, or 61%, was received in cash or cash equivalents, and the remainder, \$2,549,018, or 39%, was acknowledged as pledges to be collected within the allowable five year payment window. During the same accounting period, UofL received \$6,512,368 in state matching funds through the Endowment Match Program, Round II. As a result, a total of \$10,641,385 in private and state funds was used to create seven new endowments and expand two existing endowments. The combined private gifts and state matching funds were invested as received throughout the 2001-02 fiscal year. Obviously, this time-phased investment of funds produces different and varying annual earnings, which normally are budgeted the following fiscal year for program expenditures.

As of June 30, 2002, the total market value of the seven new and two existing endowments, established under Round II of the Endowment Matching Program, was \$11,036,949 (Table 4). Of this market value amount, \$6,693,675, or 60%, represents state match funds, and \$4,343,274, or 40%, were gift funds from the private sector.

Table 5 is a report entitled *Endowment Match Program, Statement of Changes in Endowments*. Since 1998, UofL has received a cumulative total of \$68,521,725 in gifts and pledges from private sources (Table 5 – Grand Total: \$46,863,398 + \$6,043,665 + \$15,614,662 = \$68,521,725) that were matched with funds from the Endowment Match Program, Rounds I and II. Most of the cumulative receipts, \$52,907,063, or roughly 77%, were received in the form of cash or cash equivalents with the remainder of \$15,614,662, or 23%, booked as receivables in the form of donor pledges. UofL is confident that pledge payments will continue to follow established CPE payment guidelines and internal payment schedules.

Since its inception, the Endowment Match Program has allowed UofL to create a cumulative total of sixty-six (66) individual endowment funds with a market value of \$110,520,880 as of June 30, 2002. (Table 5).

### **Other Cumulative Data of Interest**

Table 6 is a supplementary report entitled *Endowment Match Program, Sponsored Program Funding*. It shows cumulative extramural grant awards awarded to those endowed chairs and professors funded from the Endowment Match Program. To date the program has been an overwhelming success. Already the University of Louisville has received awards totaling \$13,324,000 in highly-competitive sponsored grants and contracts. Clearly, this influx of research dollars to the Commonwealth responds directly to Governor Patton's call to improve the State's economy and the quality of life for its citizens by providing high-tech, high-paying jobs. This recent success is only a hint of things to come. As more outstanding researchers fill endowed chair positions, extramurally funded grants will, no doubt, increase exponentially. This is an important metric to follow as we measure success into the future.

Table 7 is also a new report entitled *Pledge Commitments, Payments and Anticipated Payments*. It is essentially a pledge payment schedule for those endowments funded from the Endowment Match Program showing outstanding pledge payments. Please note, it does not include pledged gifts that were fully paid as either an outright cash payment or estate settlement. The report shows the individual cash payments received through June 30, 2002 and the anticipated future payments for each of the affected endowment funds. For those gift pledges

still outstanding, the University of Louisville originally booked \$20,197,000 in pledges for the Endowment Match Program. Of that amount \$4,582,338 has been collected to date with scheduled payments of \$15, 614,662 to be collected in the future. As demonstrated by this report, the University of Louisville is “on track” with pledge payment collections.

Table 8 is a report entitled *Donor Cash Gifts, Estate Settlements and Pledge Payments*. It shows the amount of actual funds collected through June 30, 2002 from outright cash gifts, proceeds from estate settlements and cash payments on pledges for endowments created from the Endowment Match Program. To date the University of Louisville has collected an unprecedented \$52,907,062 from the private sector.

University of Louisville  
Endowment Match Program  
Endowments Matched with State Funds  
Fiscal Year 2001-02

ENDOWMENT NAME	DATE ESTABLISHED EXPANDED	PRIVATE FUNDS		MATCHING		COMBINED MARKET VALUE
		CASH GIFTS	PLEDGES	STATE FUNDS	TOTAL RECEIVED	
<b>I. Endowments Created:</b>						
Infrastructure Research Chair	08/24/01	\$1,000,000	\$0	\$1,000,000	\$2,000,000	\$1,967,297
James Graham Brown Endowed Chair in Oncology	09/28/01	\$700,000	\$442,000	\$1,142,000	\$1,842,000	\$2,150,507
J. Henning Hilliard Graduate Fellowship	10/24/01	\$40,000	\$60,000	\$100,000	\$140,000	\$135,776
Chair/Distinguished Scholar in Urban Health Policy	11/08/01	\$100,000	\$900,000	\$1,000,000	\$1,100,000	\$1,064,654
Florence Lutz Endowed Fund for Mission Support	11/14/01	\$1,249,476	\$0	\$1,249,476	\$2,498,952	\$2,416,291
Biomems Chair - (from Lutz Endowment)	02/28/02	\$1,000,000	\$0	\$1,000,000	\$2,000,000	\$2,000,648
James G. Brown Our Highest Potential Chair	06/05/02	\$0	\$1,000,000	\$500,000	\$500,000	\$500,000
<b>Subtotal</b>		<b>\$4,089,476</b>	<b>\$2,402,000</b>	<b>\$5,991,476</b>	<b>\$10,080,952</b>	<b>\$10,235,173</b>
<b>II. Endowments Expanded:</b>						
Conn Graduate Fellowship	09/04/01	\$39,541	\$147,018	\$250,000	\$289,541	\$301,747
Charles L. Bloch, MD Fund	09/04/01	\$0	\$0	\$270,892	\$270,892	\$500,029
<b>Subtotal</b>		<b>\$39,541</b>	<b>\$147,018</b>	<b>\$520,892</b>	<b>\$560,433</b>	<b>\$801,776</b>
<b>Grand Total</b>		<b>\$4,129,017</b>	<b>\$2,549,018</b>	<b>\$6,512,368</b>	<b>\$10,641,385</b>	<b>\$11,036,949</b>

GAOPE Funding Issues: RCT Funds for Brains 2000-2001 Round III TABLE 4 -  
ENDOWMENTS MATCHED WITH STATE FUNDS.xlsJB4B 1&2 with Princ qy

Prepared by: Office of Development and Alumni  
Date: 9/7/2002  
Reviewed by: Office of Planning and Budget  
Date: 9/7/2002



**University Louisville**  
**Endowment Match Program**  
**Statement of Changes in Endowments**  
**Fiscal Year 2001-02**

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Endowment Name	Prior to 7/1/2001				During Fiscal Year 2001-02				Pledges as of 6/30/02	
	Date Established	State Funds Received	Cash Gifts Received	Market Value 7/1/01	State Funds Received	Cash Gifts Received	Investment Earnings (Loss)	Earnings Expended 2001-01		Market Value 6/30/02
<b>I. New Endowments</b>										
Infrastructure Research Chair (Lutz)	8/24/01	\$0	\$0	\$0	\$1,000,000	\$1,000,000	\$0	\$0	\$1,967,297	
James Graham Brown Chair in Oncology	9/28/01	\$0	\$0	\$0	\$1,142,000	\$700,000	\$0	\$0	\$2,150,507	\$442,000
James Graham Brown Fdn-OHP	9/28/01	\$0	\$0	\$0	\$500,000	\$0		\$0	\$500,000	\$500,000
A. J. Henning Hilliard Fellowship	10/24/01	\$0	\$20,000	\$0	\$100,000	\$20,000	\$0	\$0	\$135,776	\$60,000
Urban Health Policy Chair- (Fdn for Healthy KY)	11/8/01	\$0	\$0	\$0	\$1,000,000	\$100,000	\$0	\$0	\$1,064,654	\$900,000
Florence Lutz Mission Support	11/14/01	\$0	\$0	\$0	\$1,249,476	\$1,249,476	\$0	\$0	\$2,416,291	
Lebby Neurology Chair (with Nelson Estate)	11/26/01		\$0	\$0	\$0	\$551,990	\$0	\$0	\$576,245	
Gordon B. Fries Hematology Research Fund (Lebby)	11/26/01	\$0	\$0	\$0	\$0	\$451,990	\$0	\$0	\$476,245	
Cressman Ph.D. Fellowships	2/19/02		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$500,000
Norton Healthcare Fund II	2/25/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$3,000,000
Norton Healthcare-OHP	2/25/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,000,000
Biomems Chair (Lutz)	2/28/02	\$0	\$0	\$0	\$1,000,000	\$1,000,000		\$0	\$2,000,648	
<b>Sub-Total</b>		\$0	\$20,000	\$0	\$5,991,476	\$5,073,456	\$0	\$0	\$11,287,663	\$6,402,000

**II. Existing Endowments**

Schneider Chair in Scholarly Communications	7/2/97	\$555,000	\$542,689	\$1,341,911	\$0	\$0	\$32,206	\$32,961	\$1,257,067	
Samuels Family Fellowship	12/9/97	\$200,000	\$200,000	\$505,481	\$0	\$0	\$12,132	\$14,383	\$473,520	
Jewish Hospital Distinguished Chair in Cardiology	1/20/98	\$1,000,000	\$1,000,000	\$2,499,704	\$0	\$0	\$57,567	\$114,352	\$2,341,698	
Petersdorf Chair in Spinal Cord & Head Injury Research	4/7/98	\$1,000,000	\$1,020,000	\$2,605,032	\$0	\$0	\$62,521	\$87,617	\$2,440,337	
Norton Chair in Neurosurgery	5/15/98	\$1,000,000	\$937,827	\$1,146,025	\$0	\$0	\$27,505	\$71,352	\$1,989,926	
Children's Hospital Chair in Pediatrics	5/26/98	\$1,000,000	\$1,000,000	\$2,526,129	\$0	\$0	\$63,253	\$166,606	\$2,366,464	
Duthie Chair in Engineering Logistics	6/2/98	\$500,000	\$501,056	\$1,258,287	\$0	\$0	\$30,199	\$68,562	\$1,178,747	
Magdalen McDowell Evans Research Fund	6/25/98	\$373,000	\$1,892,113	\$2,396,328	\$0	\$0	\$102,129	\$143,392	\$2,047,011	
Brown-Forman Chair in Entrepreneurship	7/16/98	\$1,000,000	\$1,000,000	\$2,056,498	\$0	\$0	\$48,129	\$87,624	\$2,236,830	
McConnell Chair in Leadership	9/3/98	\$1,000,000	\$1,000,000	\$2,532,833	\$0	\$0	\$60,788	\$132,056	\$2,385,776	

**University Louisville**  
**Endowment Match Program**  
**Statement of Changes in Endowments**  
**Fiscal Year 2001-02**

Endowment Name	Date Established	Prior to 7/1/2001			During Fiscal Year 2001-02					Pledges as of 6/30/02
		State Funds Received	Cash Gifts Received	Market Value 7/1/01	State Funds Received	Cash Gifts Received	Investment Earnings (Loss)	Earnings Expended 2001-01	Market Value 6/30/02	
Boehl Chair in Health Law & Policy	9/18/98	\$500,000	\$519,088	\$1,140,313	\$0	\$0	\$31,405	\$40,922	\$1,044,755	
Boehl Chair in Entrepreneurship	9/18/98	\$1,000,000	\$1,000,000	\$2,280,626	\$0	\$0	\$62,810	\$2,092	\$2,146,840	
Stallings Chair in Law	10/29/98	\$500,000	\$546,802	\$1,254,996	\$0	\$0	\$30,120	\$23,189	\$1,258,761	
J. Samuel Bumgardner Professor of Otolaryngologic Surgery	11/25/98		\$680,449	\$2,021,328		\$0	\$48,512	\$60,774	\$1,894,176	
Bumgardner Molecular Pathogenesis of Microbial Infections	11/25/98	\$1,500,000		\$1,913,506	\$0		\$45,924	\$0	\$1,793,136	
Louisa Bumgardner Professor of Otolaryngologic Research	11/25/98		\$1,000,000				\$0	\$0	\$0	
Fischer Family Entrepreneurship Chair	12/17/98	\$500,000	\$499,539	\$1,292,533	\$0	\$0	\$30,364	\$60,400	\$1,213,584	
Barnstable-Brown Chair in Pediatric Diabetes Research	12/17/98	\$500,000	\$300,000	\$972,047	\$0	\$105,000	\$22,139	\$36,629	\$916,405	\$95,000
Drs. Lolita and Samuel Weakley Chair in Anesthesiology	1/4/99	\$1,000,000	\$603,691	\$1,969,989	\$0	\$200,000	\$44,824	\$68,562	\$2,042,025	\$196,309
Endowed Chair in Neurological Surgery-Spinal Cord #1	3/1/99	\$500,000	\$500,000	\$1,147,766	\$0	\$0	\$27,546	\$42,950	\$1,075,231	
Jewish Hospital Distinguished Chair in Transplantation Research	4/6/99	\$7,000,000	\$7,000,000	\$17,733,010	\$0	\$0	\$346,593	\$483,813	\$13,396,467	
LODI Chair-(UPS Grant)	5/1/99	\$1,500,000	\$900,000	\$2,066,064		\$0	\$41,831	\$73,478	\$1,633,290	\$600,000
Norton's Master Fund I	7/12/99	\$4,980,000	\$0	\$0	\$0	\$0	\$143,610	\$0	\$5,347,840	\$4,980,000
James Graham Brown Foundation Chair-Director	7/30/99	\$2,000,000	\$2,051,605	\$4,237,323	\$0	\$0	\$99,500	\$151,104	\$3,872,232	
Ashland Inc. Chair in Early Childhood Education	12/1/99	\$500,000	\$250,000	\$866,106	\$0	\$125,000	\$19,251	\$45,268	\$934,198	\$125,000
William T. Runner, Jr. Fellowship	12/31/99	\$250,000	\$248,526	\$594,544	\$0	\$0	\$14,232	\$32,255	\$532,425	
Arthur & Virginia Keeney Chair	1/31/00	\$1,000,000	\$1,001,000	\$2,669,018	\$0	\$0	\$64,057	\$0	\$2,500,749	
Spinal Cord & Head Injury Chair in Neurosurgery II	2/2/00	\$500,000	\$500,000	\$1,137,966	\$0	\$0	\$29,129	\$0	\$1,068,017	
Mather-Frank Fellowship	3/21/00	\$0	\$276,857	\$305,074	\$0	\$0	\$7,311		\$285,698	
Nelson HSC Opportunity Chair	3/23/00	\$0	\$1,000,000	\$1,139,490	\$0	\$0	\$24,157		\$1,000,000	
Biomedical Devices (Nelson)	3/23/00	\$0	\$1,026,261	\$1,139,490	\$0	\$0	\$24,157	\$0	\$1,292,897	
Gordon-Hockensmith Speed Alumni Computing Resource Fund	4/26/00	\$250,000	\$248,526	\$829,229	\$0	\$0	\$13,494	\$22,385	\$529,115	

**University      Louisville**  
**Endowment Match Program**  
**Statement of Changes in Endowments**  
**Fiscal Year 2001-02**

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**University of Louisville**  
**Endowment Match Program**  
**Statement of Changes in Endowments**  
**Fiscal Year 2001-02**

Endowment Name	Date Established	Prior to 7/1/2001			During Fiscal Year 2001-02					
		State Funds Received	Cash Gifts Received	Market Value 7/1/01	State Funds Received	Cash Gifts Received	Investment Earnings (Loss)	Earnings Expended 2001-01	Market Value 6/30/02	Pledges as of 6/30/02
Hauser Hearing Research Endowed Chair	5/21/01	\$1,000,000	\$0	\$993,688		\$429,999	\$2,367	\$0	\$1,346,990	\$570,001
University Distinguished Chair in Hepatology	6/30/01	\$1,000,000	\$1,000,000		\$0	\$0	\$0	\$65,948	\$2,000,000	
<b>Sub-Total</b>		<b>\$50,572,760</b>	<b>\$46,843,398</b>	<b>\$98,485,349</b>	<b>\$520,892</b>	<b>\$970,209</b>	<b>\$1,846,167</b>	<b>\$2,527,107</b>	<b>\$99,233,217</b>	<b>\$9,212,662</b>
<b>Grand Total</b>		<b>\$50,572,760</b>	<b>\$46,863,398</b>	<b>\$98,485,349</b>	<b>\$6,512,368</b>	<b>\$6,043,665</b>	<b>\$1,846,167</b>	<b>\$2,527,107</b>	<b>\$110,520,880</b>	<b>\$15,614,662</b>

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# **Western Kentucky University**

**WESTERN KENTUCKY UNIVERSITY**  
**Western Kentucky University Foundation, Inc.**  
**College Heights Foundation**  
**Endowment Match Program**  
**2001-2002 Summary Report**

This report covers the activities and expenditures supported at Western Kentucky University under the Endowment Match Program. During fiscal year 2001-2002, Western Kentucky University did not submit any new requests for matching funds to the Council on Postsecondary Education because their allocation was utilized during the previous year. With the completed allocation to the endowments, the match program has helped create or expand a cumulative total of 33 endowments valued at \$10,329,739 as of June 30, 2002 (Table 5).

Private donations and state matching funds supported the combined total of 34 endowed activities at Western Kentucky University in 2001-02 (Table 1). During the 2001-2002 year, one scholarship and 5 mission support projects became active and began reporting expenditures. Since the program's inception in 1998, 18 faculty positions have been established; and ten have been filled; two scholarship funds have been created, and one made its first award in Spring 2002; and 18 mission support activities have been created with five already reporting expenditures.

*Endowment Activity*

As shown in Table 1, matching program funds were used to create one endowed chair, eight endowed professorships, two scholarship funds and 18 mission support projects. Of these activities, a total of 16 were active. As of June 30, 2002, one endowed chair position and nine endowed professorship positions were occupied, one scholarship fund made its first award and five mission support activities reported expenditures.

Table 1: Annual and Cumulative Match Program Activities

Activity	2001-2002		1998-2002	
	Number of Positions or Projects	Number Occupied or Projects with Expenditures	Number of Positions or Projects	Number Occupied or Projects with Expenditures
Chairs	0	0	1	1
Professorships	0	0	13	9
Fellowships	0	0	0	0
Scholarships	1	1	2	1
Mission Support Projects	0	4	18	5
Totals	1	5	34	16

*Demographic Information*

The majority of faculty and staff hired using Endowment Match Program funds are male. Since 1998, a total of nine faculty have been hired under the auspices of the Endowment Match Program. Eight of those hired (or 88.8 percent) were male, and one (or 11.2 percent) was female.

Table 2: Gender of Endowment Match Program  
Faculty and Staff

	As of June 30, 2002	
	Male	Female
Chairs	1	0
Professors	7	2
Staff	0	0
Total	8	2

The majority of professors hired through the Endowment Match Program are White, non-Hispanics. As can be seen in Table 3, 9 of 10 (or 90.0 percent) match program employees hired since 1998 were white, one (or 10.0 percent) was Asian. Overall, minority participation in the program has increased.

Table 3: Race of Endowment Match Program Faculty and Staff  
As of June 30, 2002

	White	Black	Am. Indian	Asian	Hispanic	Total
Chairs	1	0	0	0	0	1
Professorships	8	0 *	0	1	0	9
Staff	0	0	0	0	0	0
Total	9	0	0	1	0	10

\* McCormack Professor appointed August 2002.

#### *Sources of Funds, Earnings, and Expenditures*

During fiscal year 2001-02, Western Kentucky University Foundation and College Heights Foundation received \$60,000 in donations and gifts from private sources (Table 4) that were part of the programs matched with state funds. Of that amount, \$52,000 or 86.6 percent, was received in cash, and the remainder, \$8,000 or 13.4 percent, was received in the form of pledges. Western Kentucky University requested and received the allocation balance of \$25,209 in state matching funds through the Endowment Match Program. As a result, a total of \$10,995,234 in private and state funds were used to create 31 endowments and expand three existing endowments since 1998.

As of June 30, 2002, the total market value of the 34 endowment accounts established under the Endowment Matching Program was \$10,329,737 (Table 5). Of that amount, \$7,013,209 (or 67.9 percent) were state funds and \$3,316,528 (or 32.1 percent) were gift funds. Foundation records show an outstanding balance of \$2,975,956 in unpaid pledges on these accounts. Just under \$186,000 was expended for program activities during the fiscal year ending June 30, 2002.



Table 4

**Western Kentucky University**  
**Western Kentucky University Foundation and College Heights Foundation**  
**Endowment Match Program**  
**Endowments Matched with State Funds**  
**During Fiscal Year 2001- 02**

Endowment Name	Date Established/ Expanded	Private Funds			State		Total Received *
		Gifts	Pledges	Total	Match Paid		
Endowments Created:							
Harlin-Parker Attorneys at Law Scholarship	2/26/2002	\$ 2,000	\$ 8,000	\$ 10,000	\$ 10,000	\$ 12,000	
Sub Total		\$ 2,000	\$ 8,000	\$ 10,000	\$ 10,000	\$ 12,000	
Existing Endowments:							
Centercare/CHC Visiting Professorship	1/7/2000	50,000	-	50,000	15,209	65,209	
Sub Total		\$ 50,000	\$ -	\$ 50,000	\$ 15,209	\$ 65,209	
Grand Total		\$ 52,000	\$ 8,000	\$ 60,000	\$ 25,209	\$ 77,209	

\* Total gift and state funds received. Does not include pledges.

**WESTERN KENTUCKY UNIVERSITY**  
**Western Kentucky University Foundation and College Heights Foundation**  
**Endowment Match Program**  
**Statement of Changes in Endowment Principal**  
**Fiscal Year 2001-02**

Endowment Title	Date Established	Prior to 7/1/01			During Fiscal Year 2001-02					Outstanding Pledges 6/30/2002
		State Funds Received	Gifts Received	Market Value 7/1/2001	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended	Market Value 6/30/2002	
<b>New Endowments:</b>										
Harlin-Parker Attorneys at Law Scholarship	2/26/2002	\$ -	-	\$ -	\$ 10,000	\$ 2,000	\$ (496)	-	\$ 11,504	\$ 8,000
<b>Sub-Total</b>					10,000	2,000	(496)	-	11,504	8,000
<b>Existing Endowments:</b>										
Kerr -Greulich Endowment for Ogden College	3/30/2001	\$ 27,000	* \$ 10,000	\$ 10,000	\$ -	\$ 22,000	(4,582)	\$ 134	\$ 54,284	\$ 66,000
Service One Credit Union Endowed Scholarship Fund	2/1/2001	10,000	*	2,000	-	2,000	(1,109)	500	12,391	6,000
Toni Gorin Dean's Fund for Excellence	12/31/2000	25,000	5,000	30,000	-	5,000	(2,903)	-	32,097	15,000
Canjemi Faculty Award for COE	9/4/2000	15,000	3,000	5,661	-	4,000	(749)	169	23,742	11,000
Mosley Watkins Fund for Excellence in English	8/10/2000	2,500	1,225	3,725	-	1,500	(441)	-	4,784	4,875
Gordon Ford College of Business Endowment	8/4/2000	50,000	10,000	60,000	-	10,000	(6,513)	-	63,487	30,000
Branham/Collins Visual & Performing Guest Artist Endowment	8/1/2000	60,000	17,391	82,492	-	10,207	(8,048)	400	84,251	32,402
Greaves Instructional Fund for Gerontology Outreach	8/1/2000	100,000	55,297	157,168	-	44,704	6,336	-	208,208	-
M. Fiddie Carter Gifted Studies Faculty Fund	7/27/2000	25,000	25,000	50,000	-	-	(4,984)	-	45,016	-
Kuicely Professorship in Leadership Studies	7/19/2000	500,000	188,125	669,903	-	41,640	(7,288)	-	704,255	191,735
Jim Scott Professorship	7/10/2000	1,000,000	200,000	1,181,565	-	200,000	(112,075)	41,668	1,227,823	600,000
Bell Fund for Civil Engineering	6/30/2000	125,000	125,000	246,023	-	-	(24,330)	3,948	217,745	-
Gail Martin Lecture & Faculty Development Series	6/12/2000	25,000	8,333	77,002	-	4,167	(7,630)	1,965	71,574	12,500
Minority Teacher Support Endowment	6/12/2000	25,000	8,333	33,343	-	4,167	(3,546)	-	33,964	12,500
Larry B. Shelton Dean's Fund for Excellence	5/25/2000	15,000	3,000	18,000	-	3,000	(1,867)	1,770	17,363	9,000
Ward Visiting Professorship for Women's Studies	5/18/2000	110,000	102,000	205,650	-	5,500	(20,290)	9,266	181,593	2,500
College of Education Dean's Fund for Excellence	5/18/2000	12,500	2,407	14,909	-	2,830	(1,711)	-	16,028	7,263
Gordon Ford College of Business Dean's Fund for Excellence	5/18/2000	12,500	2,407	14,909	-	2,830	(1,711)	-	16,028	7,263
Ogden College of Science and Engineering Dean's for Excellence	5/18/2000	12,500	2,407	14,909	-	2,830	(1,711)	-	16,028	7,263
Potter College of Arts Dean's Fund for Excellence	5/18/2000	12,500	2,407	14,909	-	2,830	(1,711)	-	16,028	7,263
Johnson & St. Clair Center for Gifted Studies Faculty Support	5/12/2000	12,500	-	12,500	-	-	(1,241)	-	11,259	12,500
Boyd-Lubker Visiting Scholars Program	5/4/2000	100,000	50,000	150,426	-	25,000	(16,429)	286	158,711	25,000
Faculty Fund for Excellence in Ogden College/Hard Sciences	4/27/2000	100,000	4,370	104,370	-	-	(10,365)	-	94,005	95,630
Hall Professorship in Construction Engineering	1/18/2000	500,000	200,000	701,364	-	99,758	(75,298)	24,509	701,314	200,243
Wm. M. McCormack Professorship	1/11/2000	500,000	324,797	834,948	-	-	(83,694)	-	751,254	175,203
Leon Page Professorship in Banking & Financial Planning	1/10/2000	500,000	150,000	652,650	-	150,000	(71,469)	-	731,181	200,000
Cenacore/CHC Visiting Professorship	1/7/2000	300,000	300,000	597,119	15,209	50,000	(62,617)	12,445	587,266	-
Todd Professorship of Longevity & Healthful Living	10/15/1999	500,000	197,360	713,637	-	-	41,050	-	754,687	302,640
Jerry E. Baker Professorship in Music	9/28/1999	311,000	110,600	433,185	-	200,400	(57,505)	-	576,080	-
Mary R. Nixon Professorship in Accounting	1/4/1999	500,000	101,523	674,896	-	74,240	(68,953)	220	679,963	323,617
Mattie Newman Ford Professorship in Entrepreneurship	11/19/1998	500,000	61,570	646,820	-	110,000	(68,730)	37,534	650,556	338,430
Layne Professorship in Mechanical Engineering	9/25/1998	500,000	205,411	811,406	-	97,460	(87,005)	19,038	802,824	97,129
<b>Sub-Total</b>		6,988,000	2,703,963	10,021,277	15,209	1,276,062	(860,664)	185,632	10,318,232	2,967,936
<b>Grand Total</b>		\$ 6,988,000	\$ 2,703,963	\$ 10,021,277	\$ 25,209	\$ 1,278,062	\$ (861,160)	\$ 185,632	\$ 10,329,737	\$ 2,975,936